



PROFILE INFORMATION:

Account Name

Account Number

General Investment Objective

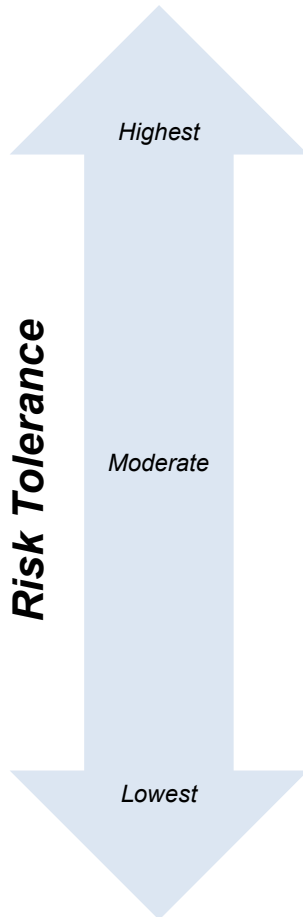
Account Type

Financial Advisor

Current model allocation

Email address

By signing below, I authorize and instruct Nelson Securities, Inc. to change the investment allocation of the account listed above. I understand that a change in investment objective may also generate a change in risk and I accept this change in risk tolerance. Based on my financial situation, my risk tolerance for this account is:



AGGRESSIVE GROWTH

WAM Strategic Tactical Acct / eSAM Aggressive (100% Stocks)



MODERATE-AGGRESSIVE

WAM Capital Growth / eSAM Moderate-Aggressive (80% Stocks, 20% Bonds)



MODERATE BALANCED

WAM Strategic Asset Balanced / eSAM Moderate (60% Stocks, 40% Bonds)



CONSERVATIVE GROWTH

WAM Total Return / eSAM Conservative (40% Stocks, 60% Bonds)



CONSERVATIVE PLUS

WAM Capital Guardian Plus / eSAM Capital Foundation Plus (20% Stocks, 80% Bonds)



CONSERVATIVE

WAM Capital Guardian / eSAM Capital Foundation (100% Bonds)



ALL CASH

(100% Cash)

Account Owner Signature

Date

Account Co-Owner Signature, if applicable

Date

Because frequent trading in mutual funds can adversely affect the performance of the fund, some mutual fund companies have placed restrictions regarding frequent trading. Due to these restrictions, Nelson Securities, Inc. must limit the frequency of model changes per account to once in a 90-day calendar period. Standard withdrawals, contributions, and distributions are not included in this policy.