

## Item 1 – Cover Page

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April 30, 2019

**This brochure supplement provides information about Alan Berger that supplements the Arkadios Wealth Advisors LLC (“Arkadios Wealth”) brochure. You should have received a copy of that brochure. Please contact Gary Schwartz at the number above if you did not receive Arkadios Wealth’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Alan Berger is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 - Educational Background and Business Experience

Alan S. Berger, b. 1952

### Educational Background

Northwestern University – Bachelors Degree

American College - The Chartered Life Underwriter® (CLU®)

The Chartered Life Underwriter® (CLU®) designation is issued by The American College to those who specialize in life insurance and estate planning. In order to qualify, individuals must complete 5 core courses and 3 elective courses; each course requires passing a 2-hour exam. Prerequisite experience includes 3 years of full time business experience within the 5 years preceding the award of the designation. Certified individuals abide by a Code of Ethics and complete 30 hours of continuing education every 2 years.

## Business Experience

<b>Firm</b>	<b>Position</b>	<b>Dates</b>
Arkadios Wealth Advisors LLC	Investment Advisor Representative	4/2019-Present
Arkadios Capital	Registered Representative	4/2019-Present
Triad Advisors LLC	Registered Representative/ Investment Advisor Representative	3/2014-4/2019

### **Item 3 - Disciplinary Information**

Investment Adviser Representatives are required to disclose all material facts regarding any legal and disciplinary events would be material to clients' and prospective clients' evaluation of the representative. Mr. Berger has no information applicable to this Item.

### **Item 4 - Other Business Activities**

Mr. Berger offers advisory services through Arkadios Wealth Advisors doing business under the name Foresight Financial Partners, LLC. Mr. Berger is a Registered Representative of Arkadios Capital, a registered broker-dealer, and affiliated with Arkadios Wealth Advisors LLC through common ownership. Mr. Berger is also licensed to sell insurance products, including life insurance, disability insurance and long term care. He is compensated through commissions from the sale of securities and insurance products. He spends as much as 50% of his time on these non-advisory activities.

This poses a conflict of interest to the extent that he has a financial incentive to recommend securities and other investments that result in commissions, brokerage fees, or other payments. Arkadios Wealth is dedicated to acting in the clients' best interests based on fiduciary principles. Clients are under no obligation to purchase any recommended brokerage products or insurance products.

### **Item 5 - Additional Compensation**

Mr. Berger does not receive compensation for advisory services other than fees paid by the client.

### **Item 6 - Supervision**

Gary Schwartz is responsible for supervision of all advisory accounts. He can be reached at (404) 893-4100.

Mr. Berger is required to adhere to Arkadios Wealth's processes and procedures as described in the firm's Code of Ethics. In order to monitor the advice that Mr. Berger provides, relevant account opening documents are reviewed when the relationship is established and client correspondence is reviewed periodically. In addition, account activity is reviewed at least annually to ensure that trading is in line with the client's stated objectives. Factors that are considered include, but are not limited to the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings.