

## 1. Forms



1. TPFPG Investment Management Agreement (IMA)
  2. TPFPG Risk Profile & Statement of Investment Selection (SIS)
  3. Schwab One Application for Trust Accounts\*
  4. Schwab Transfer Form (if applicable – please include a statement)
- \* If the Account Registration is a Trust, please include copies of first and signatures pages of the trust document

### **Optional Forms:**

5. Schwab Asset Based Pricing Agreement (if applicable)\*\*
6. Schwab MoneyLink Electronic Funds Transfer Form

## Important Details



**\*\*Transaction Based Pricing is more cost effective than Asset Based Pricing for these parameters: All Strategic Models, \$300k+ for Balanced SMA, \$600k+ for Equity SMA, \$240k+ for Income SMA, \$450k for Defender Moderate Conservative, \$400k for Defender Moderate and \$300k for Defender Moderate Growth.**

- Assume commissions of \$20-\$45/MF trade and ABP of 18 bps per annum (\$10/month minimum & no annual max).
  - TBP analysis based on average no. of MF trades/year and is subject to change (04/22).
  - **For accounts with a number of existing mutual fund positions or that plan to take frequent distributions or add frequent contributions, those factors should be taken into consideration when determining ABP versus TBP.**
- **If including a check, make payable to: Charles Schwab FBO Client Name.**
  - **Send checks directly to Charles Schwab.**

### **Please send checks to:**

Charles Schwab  
PO Box 982603  
El Paso TX 79998

### **Overnight Mail:**

Charles Schwab  
1945 Northwestern Drive  
El Paso, TX 79912-1108  
(800) 854-5531

## 2. Submit



Send all forms to The Pacific Financial Group.

Electronically: [TeamCS@TPFG.com](mailto:TeamCS@TPFG.com)

OR, Fax: 425-451-7731

OR, Mail: The Pacific Financial Group, Inc.  
Attn: Client Services  
777 108<sup>th</sup> Avenue NE, Ste 2100,  
Bellevue, WA 98004  
Phone: 800-735-7199

1. Once TPFPG receives the paperwork, TPFPG's client services team will send you a confirmation email.
2. If you do not hear from TPFPG within 2 weeks after receiving the new account confirmation email, please follow-up with TPFPG to be sure everything is in good order.
3. Once the account has been traded, TPFPG's client services team will send you a confirmation email.

