RSWILL EST.1972 WEALTH MANAGEMENT

**Initial Meeting Checklist**

The following documents will help us to set up your investment profile and to design a financial plan and investment policy statement for you. These materials will be treated confidentially and returned as soon as the planning process is complete.

**Income**
__Current Annual Income
__Pension Income
__Social Security Income (or Statements - accessible at www.SSA.gov)
__Annuity Income
__Other Income (Dividends, Royalties, Trust Income, Rental Income, LLC/Partnership Income, Alimony)

**Account Statements**
__Investment Accounts (IRA, Brokerage, Trust, 401k, 403b, Stocks, Bonds)
__Annuities (Variable, Fixed, Index Linked)
__Bank Accounts (Checking, Savings, CD)
__Company Stock (Restricted Stock, Stock Options, Employee Stock Purchase Plan)
__529 College Savings Plans

**Other Assets**
__Trust Beneficiary
__Inheritance
__Promissory Notes

**Real Estate**
__Primary Home Value
__Second Home Value
__Investment Real Estate Value

**Business Interest/Ownership/Private Equity**
__Value of Business
__Ownership Interest
__Private Investments
__LLC Interests

**Liabilities**
__Mortgage, HELOC, Home Equity Loan
__Securities Based Loan/Margin
__Credit Card Debit
__Car Payments
__Student Loans
__Notes Payable

**Insurance**
__Life Insurance (Variable Life, Universal Life, Whole Life, Term Life)
__Long Term Care Insurance

**Other**
__Estate Documents (Will, Durable Power of Attorney, Health Care Proxy, Revocable Trust, etc)
__Most Recent Tax Returns