



# OWEN LARUE

FINANCIAL GROUP

109 W. Poplar Street  
Elizabethtown, KY 42701  
Main Phone 270-769-9995

**Robert Benjamin (Ben) LaRue**

Part 2B Supplemental Brochure

January 2021

The Brochure Supplement provides information about Ben LaRue (CRD# 1920782) that supplements the Owen LaRue Financial Group Brochure. You should have received a copy of the Brochure. Please contact the Chief Compliance Officer, Robert LaRue at 270-769-9995 if you did not receive Owen LaRue Financial Group's Brochure or if you have any questions about the contents of this supplement.

Additional information about the Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as the CRD number listed above.

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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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Ben LaRue

Born: 1962

Post-Secondary Education:

- Westminster College, Bachelor of Science, Business Administration, 1984
- Successfully completed the General Securities Representative Examination (Series 7), Investment Company Products/Variable Contract Representative Examination (Series 6), General Securities Principal Examination (Series 24) and Uniform Securities Agent State Law Examination (Series 63).

Recent Business Experience:

- Owen LaRue Financial Group, Investment Advisor Representative, 2014 to Present
- Private Client Services, Registered Representative, 2017 to 2020
- Private Advisor Group, Investment Advisor Representative, 2014 – 2017
- LPL Financial, Registered Representative, 1998 – 2017

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## ITEM 3 - DISCIPLINARY INFORMATION

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Ben LaRue has no history of any legal or disciplinary events that deems to be material to a client's consideration of Ben LaRue to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Ben LaRue that is not included in this brochure supplement. ([FINRA's BrokerCheck®](#))

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## ITEM 4 - OTHER BUSINESS ACTIVITIES

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Ben LaRue is a licensed insurance agent. It is anticipated that a small portion, less than five percent (5%) of his time, will be spent providing these insurance products. In such capacity, he offers fixed insurance products and receives normal and customary commissions as a result of any purchases made by clients. The client is under no obligation to purchase insurance through Ben LaRue. The potential for receipt of commissions and other compensation gives him incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation (e.g., commissions, trails) to be paid by the client and/or received by the insurance agent.

In addition to serving as your investment advisor, Ben LaRue is a board member for the Knox Regional Defense Alliance and the Elizabethtown Hardin County Industrial Foundation. Neither of these entities are investment related nor does he receive any compensation for his involvement. He spends less than 1 hour per month on these activities.

Ben LaRue is a member of a real estate development entity, ERA, LLC. He receives rental income as a member. In addition, Ben LaRue has part ownership of the following non-investment related

entities: Elizabethtown Imp Group, Elizabethtown Restaurant Group and Cavern Club. He does receive outside compensation from this activity spends approximately 1 hour per month on these non-investment related entities.

Ben LaRue serves as an administrator for a family member's trust. In his role, he spends less than 10 hours per month.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Ben LaRue does not receive any economic benefit for providing advisory services beyond the scope of Owen LaRue Financial Group.

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#### **ITEM 6 - SUPERVISION**

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Ben LaRue is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Robert LaRue, who is responsible for administering the policies and procedures. Robert LaRue reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Robert LaRue's may be contacted at 270-769-9995.