

1. Forms



1. TPFG Investment Management Agreement (IMA)
2. TPFG Risk Profile & Statement of Investment Selection (SIS)
3. Schwab One Application for Personal Accounts
4. Schwab Transfer Form (*if applicable – please include a statement*)

Optional Forms:

5. Schwab Asset Based Pricing Agreement (if applicable)**
6. Schwab MoneyLink Electronic Funds Transfer Form

Important Details



***Transaction Based Pricing is more cost effective than Asset Based Pricing for these parameters: All Strategic Models, \$300k+ for Balanced SMA, \$600k+ for Equity SMA, \$240k+ for Income SMA, \$450k for Defender Moderate Conservative, \$400k for Defender Moderate and \$300k for Defender Moderate Growth.*

- Assume commissions of \$20-\$45/MF trade and ABP of 18 bps per annum (\$10/month minimum & no annual max).
- TBP analysis based on average no. of MF trades/year and is subject to change (04/22).
- **For accounts with a number of existing mutual fund positions or that plan to take frequent distributions or add frequent contributions, those factors should be taken into consideration when determining ABP versus TBP.**
- **If including a check, make payable to: [Charles Schwab FBO Client Name](#).**
- **[Send checks directly to Charles Schwab](#).**

Please send checks to:

Charles Schwab
PO Box 982603
El Paso TX 79998

Overnight Mail:

Charles Schwab
1945 Northwestern Drive
El Paso, TX 79912-1108
(800) 854-5531

2. Submit



Send all forms to The Pacific Financial Group.

Electronically: TeamCS@TPFG.com

OR, Fax: 425-451-7731

OR, Mail: The Pacific Financial Group, Inc.
Attn: Client Services
777 108th Avenue NE, Ste 2100,
Bellevue, WA 98004
Phone: 800-735-7199

1. Once TPFG receives the paperwork, TPFG's client services team will send you a confirmation email.
2. If you do not hear from TPFG within 2 weeks after receiving the new account confirmation email, please follow-up with TPFG to be sure everything is in good order.
3. Once the account has been traded, TPFG's client services team will send you a confirmation email.

