

This brochure supplement provides information about William Anthony Phillips that supplements the Phillips Financial Strategies brochure. You should have received a copy of that brochure. Please contact William Anthony Phillips if you did not receive Phillips Financial Strategies's brochure or if you have any questions about the contents of this supplement.

Additional information about William Anthony Phillips is also available on the SEC's website at www.adviserinfo.sec.gov.

Phillips Financial Strategies

Form ADV Part 2B – Individual Disclosure Brochure

for

William Anthony Phillips

Personal CRD Number: 5498335

Investment Adviser Representative

Phillips Financial Strategies

210 7th street SE, Suite 202

Washington, DC 20003

(202) 550-4107

Office: (202) 681-1161

william@phillipsfinancialstrategies.com

UPDATED: 05/11/2020

Item 2: Educational Background and Business Experience

Name: William Anthony Phillips **Born:** 1978

Educational Background and Professional Designations:

Education:

Masters of Business Administration Business, George Washington University - 2007

Bachelor of Fine Arts Photography, University of the South - 2000

Business Background:

09/2014 - Present President & Chief Compliance Officer
William A. Phillips Financial Services
DBA Phillips Financial Strategies

09/2014 - Present Registered Representative
Cambridge Investment Research, Inc.

09/2014 - 12/2019 Investment Adviser Representative
Cambridge Investment Research Advisors, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of William Anthony Phillips.

Item 4: Other Business Activities

William Anthony Phillips is a registered representative of Cambridge Investment Research, Inc. (CRD# 39543). From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. PFS always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients always have the right

to decide whether or not to utilize the services of any PFS representative in such individual's outside capacities.

William Anthony Phillips is an licensed insurance agent with Phillips Financial Strategies, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. PFS always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of PFS in connection with such individual's activities outside of PFS.

Phillips Financial Strategies was not officially organized until September 2014.

Item 5: Additional Compensation

William Anthony Phillips does not receive any economic benefit from any person, company, or organization, other than Phillips Financial Strategies in exchange for providing clients advisory services through Phillips Financial Strategies.

Item 6: Supervision

As the Chief Compliance Officer of Phillips Financial Strategies, William Anthony Phillips supervises all activities of the firm. William Anthony Phillips's contact information is on the cover page of this disclosure document. William Anthony Phillips adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. William Anthony Phillips has not been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. William Anthony Phillips has not been the subject of a bankruptcy petition.