Dexter Ward, CPA/PFS, CFP ®

Print Form

Submit by Email

# CONFIDENTIAL
# Issues to Discuss Checklist

**Please enter your name** _____ Today's Date:

Many of the events that occur in our lives affect our financial goals/plans. Please put a checkmark by any of the items listed that have changed or occurred recently. Also check items you would be interested in learning more about so that we can discuss them during our meeting. You can then print out this form and bring it with you or email and it will be included in your file.

## Personal

- ☐ Change of address
- ☐ Married or divorced
- ☐ Birth/adoption of a child or grandchild
- ☐ Considered changing insurance beneficiaries
- ☐ Interested in funding a child's or grand-child's education
- ☐ Learned that careful asset positioning can have a sizeable impact on financial aid amounts/eligibility for college costs
- ☐ Received a large sum of money
- ☐ Interested in tax minimization strategies
- ☐ Interested in charitable bequests
- ☐ Death, long term illness or disability of a close relative
- ☐ Interested in funding long-term care for self or relative
- ☐ Interested in obtaining a current estimate of potential Social Security benefits
- ☐ Interested in estate planning costs/taxes
- ☐ Interested in supplementing Social Security benefits
- ☐ Considering a sizeable gift or gifting program
- ☐ Discuss a debt retirement program

## Business

- ☐ Employment change
- ☐ Income change
- ☐ Significant change in empolyee benefits
- ☐ Purchased or sold real estate
- ☐ New stock options granted
- ☐ Retirement (actual or to occur soon)
- ☐ Acquired or sold an ownership or interested in (please enter the name of the entity in the box below

Entity _____

Other _____

Other _____

Use the space below to make a note of any items not listed above that you would like to review or discuss.

_____

**Please bring a recent statement of your investments (IRA, 401K's, Stocks, etc.) & a copy of your tax return**.

Dexter Ward, Investment Representative
Securities offered through H.D. Vest Investment Services[sm], Member SIPC
Advisory Services offered through H.D. Vest Advisory Services,[sm]
309 W. Woodard Street, Denison, Texas 75020, (800) 453-3995

Print Form

Submit by Email