

## **Piershale Financial Group – Privacy Policy**

Regulation S-P, Privacy of Consumer Financial Information, requires financial institutions, including Piershale Financial, to provide notice to current clients and prospective clients about their policies and practices concerning the collection and use of customer, non-public information. This privacy policy notice is given to all prospective clients of Piershale Financial upon entering into a contract with Piershale Financial and annually thereafter.

**Privacy Disclosure Statement.** A primary goal of Piershale Financial is to protect the privacy of its clients. Piershale Financial does not sell the personal information of clients to anyone.

To conduct regular business, Piershale Financial may collect non-public personal information from clients. This information is provided by clients to Piershale Financial on applications and other forms provided by clients to Piershale Financial as well as transactions with the firm, our affiliates, or others.

Piershale Financial may enter into contracts with outside third parties so that Piershale Financial can assist its clients in servicing their accounts. In order to do this, Piershale Financial will disclose personal information to companies that help Piershale Financial process transactions for client accounts (for example, executing client trades through a broker/dealer). However, Piershale Financial does not share or disclose any non-public customer information except as allowed or required by law. In addition to sharing information in order to provide financial services to clients, Piershale Financial may be required to disclose personal information to cooperate with regulators or law enforcement authorities, to resolve customer disputes or for risk control.

**Information Safeguarding.** Piershale Financial has implemented strict policies and procedures aimed at protecting the sensitive nature of client information. Piershale Financial restricts access to client information to only those members of Piershale Financial that must provide products and services to clients in order to service client accounts. Piershale Financial has implemented physical, electronic, and procedural safeguards aimed at meeting Piershale Financials' duty to protect non-public client information.

If you have any questions concerning Piershale Financials' customer privacy policy or concerns about your personal information please feel free to contact, Trish Nadeau at 847-713-8070.