first allied

PRIVATE CLIENT SERVICES

YOU'VE WORKED HARD TO ACCUMULATE YOUR WEALTH.

And along the way, you've made discriminating choices about your investments, businesses, assets, and advisors.

Your next important choice: selecting the experts who can help you and your family make informed decisions about your financial future.

The First Allied Private Client Services team has built a reputation on providing sound financial advice to people like you—those who want to make the most of their lives at every age.

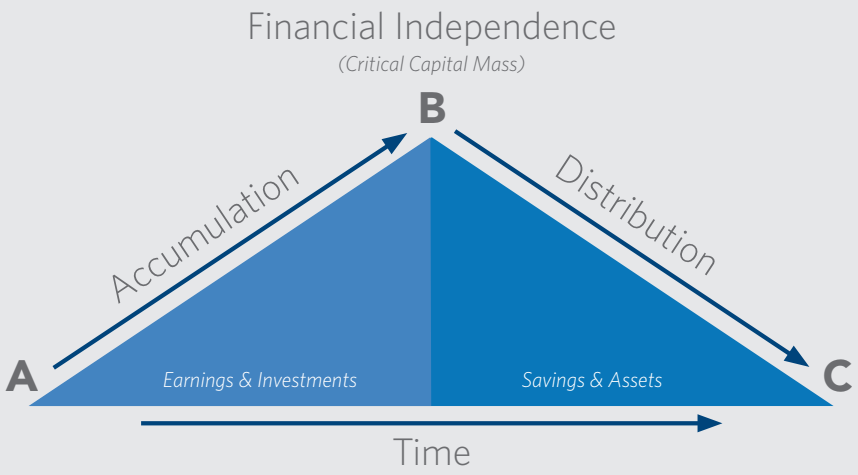
We'll begin by helping you clarify and prioritize your financial goals. First, we'll quantify where you are now. We'll work with you to define where you want to be, and when.

Then, based on our knowledge and experience, we'll offer recommendations and tools to help you get there.

YOU DESERVE
a wealth management plan crafted just for you.

We work hard to create your customized plan . . . and we begin the process by working hard to understand your unique financial situation.

We've identified three crucial points in our clients' financial life cycles.



Point A represents the first surplus dollar you earn—money above and beyond your expenses.

Point B is critical capital mass, or the moment when your savings and the income from your savings allow you to have the lifestyle you want without working. At this point, you're confident in your financial security, with the capital you need for a lifetime.

Our team will help you manage and distribute your accumulated wealth from Point B through the rest of your life—**Point C**—in a way that reflects your goals and objectives.

YOU DESERVE clarity.

Your journey from where you are today to where you'd like to be needs a roadmap.

We believe that every client's financial situation is unique—so our experienced professionals build a wealth-management plan for you from scratch, based on your current situation and your goals.

Wealth management is a process of identifying, assessing, and managing financial risk. We ask: What might go wrong tomorrow that could have a negative impact on you and your family? Once we identify the factors that could affect you, our team develops a framework for mitigating them and keeping you on track.

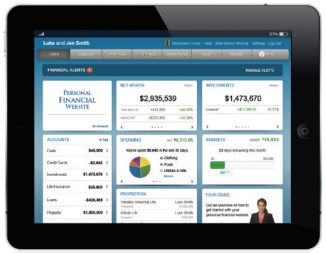
Most of our clients need a plan that addresses one or more of the following issues:

- Investments
- Insurance
- Liability
- Qualified plan/IRA
- Compensatory stock options
- Business succession plan
- Durable power of attorney
- Gifting to children
- Philanthropy
- Titling of assets
- Choice of executor
- Distribution plan at death
- Charitable inclination at death

A wealth-management plan created for someone who's getting ready to pay a child's college tuition wouldn't necessarily be the right plan for someone who's preparing to retire. That's why we focus intently on making sure that your wealth strategy is right for you. We invest more time with you up front than other firms might—and that's how we ensure that your wealth strategy is uniquely yours.

YOU DESERVE
an easy way to see the big picture.

Do you really know everything you have, what it's worth, and where it is?



Once your plan is in place, we'll provide you with your own personal financial website, where you can see everything you own, all in one place. It's a private and secure location that consolidates your data into a single clear picture.

Your personal website makes getting organized simple. It's easy to connect with all of your accounts; as the markets change throughout the day, your balances recalculate to keep you up to date. With one click, you can print out reports that show your complete financial overview.

To stay on track, you can create a budget, view your spending habits, monitor cash flow, and see your bottom line anytime. Interactive workshops show how your investment and spending decisions today can impact lifetime cash flow, affect your retirement, and influence your financial goals. You can even collaborate with us online in real time. And all your important documents can be stored for safekeeping in your website's digital vault, available to you at any time from any location.

Your data is easy to see, easy to monitor, and easy to adjust. Constant monitoring and adjustment increase your probability of success in meeting your goals. That's the truth of any endeavor . . .

. . . and when that endeavor is financial security for you and your family, you need not only the right tools and resources, but also the right partner to guide and advise you along the way.

It's what we do. And we do it well.

To quote Winston Churchill: "Let us go forward together."

ABOUT FIRST ALLIED

First Allied Securities, a full-service independent broker/dealer, was founded in 1994 as a privately held company with a vision of providing independent financial advisors with cutting-edge technology, innovative products and excellent service.

As an independent firm, we place your interests first. Your financial well-being is our priority, and our mission is to provide the guidance and support you need to translate investment dollars into the potential attainment of lifelong goals and dreams.

We take pride in our team's expertise in sharing ideas and strategies that our high-net-worth clients find helpful as they create the financial future they envision—and we would appreciate the opportunity to show you how we'd put that expertise to work for you.

To begin the conversation, talk with your financial advisor about engaging First Allied Private Client Services.

first allied

655 West Broadway ▪ San Diego, CA 92101 ▪ 800-499-5489

2335019