



**CROWN
CAPITAL**
SECURITIES, L.P.

CROWN CAPITAL SECURITIES, LP

PHONE: 800-803-8886

FAX: 714-547-9404

ACKNOWLEDGMENT AND APPROVAL FOR RELEASE OF INFORMATION

Please note, all information is strictly confidential, and will not be reported to your present Broker Dealer (if applicable). Please sign the written permission line below allowing CROWN CAPITAL SECURITIES, LP, to adequately review your background through FINRA's (formerly NASD) WEB CRD Representative Information System. After completing, please fax to Crown's Licensing and Registration Department, at 714-547-9404.

Date of Birth

Social Security Number

Full Name of Applicant (Please Print)

Cell Number

_____ AM PM
Best Private Telephone Number
Where you can be reached, if needed

Fax Number

Mailing Address (Please Print)

Name of referring Crown
manager (if applicable)

I hereby authorize CROWN CAPITAL SECURITIES, LP, to access FINRA's WEB CRD Representative Information System, to obtain information to adequately review my background.

I hereby authorize CROWN CAPITAL SECURITIES, LP, to review my credit history via Equifax. Equifax is made available to Crown Capital through FINRA's Web CRD Representative Information System.

Signature of Applicant: _____ Date: _____