

# FACTS

**DO WITH YOUR**

**YOUR INFORMATION?**

**Why?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?** The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Federal Tax Identification number
- account numbers and account balances and transaction information
- name and address and employment information

When you are *no longer* our customer, we continue to share your information as described in this notice.

**How?** All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TPGF / PFG/RP chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TPGF, PFG & RP share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	NO	N/A
<b>For joint marketing with other financial companies</b>	NO	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	YES	NO
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	YES	NO
<b>For nonaffiliates to market to you</b>	NO	N/A

**Questions?** Call 800/735-7199 or go to [www.tpfg.com](http://www.tpfg.com) for any questions or concerns.

## Who we are

### Who is providing this notice?

The Pacific Financial Group, Inc. "TPFG"/Pacific Financial Group, LLC "PFG" and ProTools, LLC. "RP"

## What we do

### How does TPGF/PFG/RP protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

### How does TPGF/PFG/RP collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit or withdrawal funds
- or
- 

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *TPFG, PFG and RP are affiliated and may be provided with information about a client's transactions.*

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *TPFG, PFG and RP do not share with non-affiliates so they can market to you.*

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *TPFG, PFG and RP only shares with your current investment adviser.*

## Other important information

TPFG, PFG and RP do not share your information for any marketing purposes. We collect only the information that is necessary to administer your account(s) with us. This information is collected and retained to help serve your financial needs, to provide excellent customer service, and to fulfill legal and regulatory requirements. The information that we collect can be summarized as follows:

- Identifying information furnished by you on account establishment forms creating your account with us .
- Account transaction information obtained from you and the various entities that comprise the assets in your account(s). This includes balances, positions, and history that have occurred within your account(s), along with correspondence and phone contacts with us concerning your account and the asset held within your account, and our services.