



SIMPLEPATH
RETIREMENT™
Holistic Wealth Management
& Retirement Planning

It is very important for our time together to be effective that you bring along
YOUR COMPLETED CONFIDENTIAL QUESTIONNAIRES
in order to offer you an analysis of your current situation.

Please additionally bring (or upload for Zoom meetings) the following information, if available:

- **Detailed Custodian Statements** from all investment accounts, showing securities name & quantity owned (i.e. 401(k), 403(b), IRA's, brokerage etc.).
- **Most Recent Annuity Statements**, if any
- **Social Security Statement(s)**, if not already receiving Social Security

If you should need to change or reschedule your appointment for any reason, please provide as much notice as possible so we can make that time available for someone else.

Our office will call you a day or two prior to your appointment as a reminder. Feel free to confirm via text or phone at (727) 304-6000.

We look forward to seeing you and helping you to build a better retirement!

Warmest regards,

Celine J. Pastore, CRPC®, MRFC®
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TODAY'S DATE:

CONTACT INFORMATION

Name: Nickname: Date of Birth: Age:

Cell Phone: () Alt. Phone: () Email:

Address: City: State: ZIP Code:

Are you retired? Yes No Actual or planned year or date of retirement: Are you a smoker? Yes No

Employer Name (current or last): Occupation:

Spouse Name: Nickname: Date of Birth: Age:

Cell Phone: () Alt. Phone: () Email:

Are you retired? Yes No Actual or planned year or date of retirement: Are you a smoker? Yes No

Employer Name (current or last): Occupation:

FAMILY

Children's Names	State of Residence	Age	# of Grandchildren	Their Ages
1. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
5. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Do you have any children with special needs? Yes No | I have a Special Needs trust established

ESTATE

Which of the following Estate Planning documents do you have?

	DATE UPDATED	CITY/STATE CREATED
Will <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="text"/>	<input type="text"/>
Power of Attorney (POA) Assets <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="text"/>	<input type="text"/>
Healthcare Directives (POA) <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="text"/>	<input type="text"/>
Living Will <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="text"/>	<input type="text"/>
Living Trust <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="text"/>	<input type="text"/>

Attorney Name:

Your trustees and/or executors:



INCOME & EXPENSES

Please list monthly income from each source (*do not list RMD's or Investment/Dividend Yield**).

If you are not currently taking SS, please list your estimated benefits at Full Retirement Age (FRA) or age known.

Social Security	Self	\$	<input type="checkbox"/> Taking Now, <i>or</i> <input type="checkbox"/> Estimate at age:		Tax W/H	%	Part B	\$	Part D	\$
	Spouse	\$	<input type="checkbox"/> Taking Now, <i>or</i> <input type="checkbox"/> Estimate at age:		Tax W/H	%	Part B	\$	Part D	\$
Pension	Self	\$	<input type="checkbox"/> Taking Now, <i>or</i> <input type="checkbox"/> Estimate at age:		Tax W/H	%	Survivorship		%	
	Spouse	\$	<input type="checkbox"/> Taking Now, <i>or</i> <input type="checkbox"/> Estimate at age:		Tax W/H	%	Survivorship		%	
Gross Wages	Self	\$	Spouse	\$						
Real Estate Income	#1	\$	#2	\$	<input type="checkbox"/> Gross <i>or</i> <input type="checkbox"/> Net					
Other Income*	Self	\$	Spouse	\$						

How much are your basic monthly living expenses (*i.e. food, clothing, taxes, healthcare, etc.*)? \$

How much debt (other than mortgage) do you currently have? Self \$ Spouse \$

Is your current income sufficient & comfortable? Yes No

TAX INFORMATION

What tax bracket do you think you're in? (*circle one*) 10% 12% 22% 24% 32% 35% 37%

How much do you estimate (\$ or %) **you** contribute yearly to each of the following (*enter either percentage or dollar amount*)?

IRA: ROTH IRA: 401k/403b: Roth 401k/403b:

How much does your employer (\$ or %) match/contribute annually (*enter either percentage or dollar amount*)?

How much do you estimate (\$ or %) **your spouse** contributes yearly to the following?

IRA: ROTH IRA: 401k/403b: Roth 401k/403b:

How much does their employer (\$ or %) match/contribute annually?

Do you have any interest in Roth Conversions or other Tax-Free Income Strategies? Yes No

Who prepares your tax returns? Are you happy with this firm? Yes No Neither

ASSETS

Please check off the accounts you currently hold, note the approximate value & bring in a recent detailed statement.

Bank Accounts: \$ IRAs/401k/403b/TSAs: \$ Bank CDs: \$

Annuities: \$ Brokerage Accounts: \$ Business Interest: \$

Other: \$ Life Insurance: \$

PROPERTY	BALANCE OWED:	INTEREST RATE:	PAY OFF DATE:
Home Value \$ _____	\$ _____	_____	_____
Autos/Personal Property \$ _____	\$ _____	_____	_____
Rental/Add'l Properties \$ _____	\$ _____	_____	_____

GOALS & OBJECTIVES

Describe your overall goals & objectives for your life & finances

What's your primary concern about your money?

▶ You: _____

▶ Spouse: _____

Knowing what you know now, what would you have done differently with your money?

▶ You: _____

▶ Spouse: _____

LIFE EVENTS

In the near future I expect to: *(Please check all that apply)*

- Buy a home
 Sell a home
 Improve a home
 Pay off my mortgage
 Retire
 Care for a parent
 Start/expand a business
 Start a part-time job
 Receive an inheritance
 Help fund education for a family member
 Sell a property/business
 Purchase a property
 Other

GENERAL INFORMATION

Please check your answer for the following questions:

- Do you have a Financial Advisor? Yes No
 If yes, who? _____
- Do you have life insurance? Yes No
- Do you have an umbrella policy? Yes No
- Do you have long-term care protection? Yes No
- Do you contribute to charity? Yes No
- Do you want to leave money to your children/charity? Yes No
- Do you expect to care for a child/parent? Yes No
- Are you eligible for a pension from an employer that did not withhold Social Security Taxes (i.e. foreign employer, gov't employee)? Yes No Unsure

FINANCIAL CONCERNS

Please rate your level of concern for the following:

	NOT CONCERNED – VERY CONCERNED				
	1	2	3	4	5
Outliving My Money					
Having a Reliable Income Plan					
Paying Too Much In Taxes					
Protecting My Assets					
Safety of Retirement Assets					
Increasing My Return					
Reducing My Fees					
Estate Planning Blunders					
Being a Burden to My Family					

ROADMAP QUESTIONNAIRE

Our SimplePath Roadmap® process is designed to help our clients achieve simplicity and confidence during their retirement years. It's focused around 5 key areas of your current financial life.

Full Name: _____ Date: _____

Spouse's Name: _____

Please check the box indicating your level of concern in the following key areas:

INCOME PLANNING	VERY CONCERNED	SOMEWHAT CONCERNED	NOT CONCERNED
Having enough income to last the rest of my life			
Having my retirement income be guaranteed			
Making sure my spouse has enough income in the event of my death			
Having a plan to help guard against increased monthly expenses due to inflation			
Having a plan to maximize the amount I'm entitled to get from Social Security			

INVESTMENT PLANNING	VERY CONCERNED	SOMEWHAT CONCERNED	NOT CONCERNED
The potential impact of market volatility on my retirement portfolio			
Adjusting my portfolio to potentially reduce market risk			
Finding out if I'm paying "hidden" fees within my investment accounts			
Assessing my risk comfort level for potential investment losses			
Evaluating ways to build a strategy that will still allow me accomplish my goals			

TAX PLANNING	VERY CONCERNED	SOMEWHAT CONCERNED	NOT CONCERNED
Assessing the taxable nature of my current holdings & a plan for tax diversification			
Strategizing ways to include tax-deferred or tax-free income in your retirement plan			
Planning for which assets to draw income from first			
Leveraging my money to maximize tax-free dollars to my beneficiaries			

HEALTHCARE PLANNING	VERY CONCERNED	SOMEWHAT CONCERNED	NOT CONCERNED
Having a plan to pay for the expected & rising health-care costs in retirement			
Learning more about Medicare &/or Health Savings Accounts			
Reviewing costs & options for Long-Term Care including Home Health Care			

LEGACY PLANNING	VERY CONCERNED	SOMEWHAT CONCERNED	NOT CONCERNED
Maximizing my estate and income tax planning opportunities			
Review options to avoid potentially hurting my heirs through a wind fall inheritance			
Utilizing life insurance to provide a tax-free legacy to my loved ones			
Protecting IRA/qualified accounts from becoming fully taxable to beneficiaries upon my death			

SimplePath Retirement, LLC is an independent financial services firm helping individuals create retirement strategies using a variety of investment and insurance products to custom suit their needs and objectives. Investing involves risk, including the potential loss of principal. No investment strategy can guarantee a profit or protect against loss in periods of declining values. Any comments regarding safety and guaranteed income streams refer only to fixed insurance products. They do not refer, in any way to securities or investment advisory products. Insurance and Annuity product guarantees are subject to the claims-paying ability of the issuing company. Neither the firm nor its agents or representatives may give tax or legal advice. Individuals should consult with a qualified professional for guidance before making any purchasing decisions. Our firm is not affiliated with the US government or any governmental agency.