

# PRIVACY NOTICE

## GUIDING PRINCIPLES

The relationship between Lighthouse Financial Management, LLC, and our clients is our firm's most valued asset. We strive to maintain your trust and confidence in our firm, an essential aspect of our commitment to protect your personal information to the best of our ability. We do not, and will not, share nonpublic personal information about you with outside third parties without your consent, except for the specific purposes described below. This notice has been provided to you to specify the information we may gather and the situations under which we may need to share it.

## WHY WE COLLECT AND HOW WE USE INFORMATION

We limit the collection and use of information within our firm to only those individuals associated or employed with us that must-have information to provide financial services to you. Such services include maintaining your accounts, processing transaction requests, and providing the advisory services described in our Form ADV.

## WHAT INFORMATION WE COLLECT AND MAINTAIN

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as:
  - Your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

## HOW WE PROTECT YOUR PERSONAL INFORMATION

Our employees are required to protect the confidentiality of your information and to comply with our stated policies. They may access information only when there is an acceptable reason to do so, such as to service your account or provide you with financial services. Employees who violate our Privacy Policy are subject to disciplinary action, up to and including termination from employment with us. We also maintain physical, electronic, and procedural safeguards to protect your information, which complies with applicable SEC, state, and federal laws.

## SHARING INFORMATION WITH OTHER COMPANIES PERMITTED UNDER LAW

We do not disclose information obtained in the course of our practice except as required or permitted under law. Permitted disclosures include, for instance, providing information to unrelated third parties who need to know such information to assist us with the provision of services to you. Unrelated third parties may include broker/dealers, mutual fund companies, insurance companies, and the custodian with which your assets are held. In such situations, we stress the confidential nature of information being shared.

## FORMER CLIENTS

Even if we cease to provide you with financial products or services, our Privacy Policy will continue to apply to you. We will continue to treat your nonpublic information with strict confidentiality.

