



Investor Risk Tolerance Questionnaire

Take a few minutes to complete this short questionnaire, which will create a recommended portfolio with the appropriate mix of assets. The score reflects the level of risk you are willing to take in your investment decisions.

1. If you own a home, do you have more than 30% equity?

- No
- Yes
- I don't own a home

2. Which of the following best describes your current employment situation?

- Full-Time
- Part-Time
- Retired
- Unemployed

3. From an original investment of \$15,000, your portfolio now worth \$25,000 suddenly declines \$3,750 or 15%, which best describes your response?

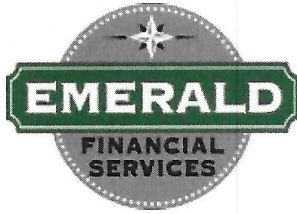
- I would look for a way to invest more
- I would take no action
- I would be somewhat concerned
- I would avoid any investment that could suddenly lose 15% of its value (Choose last answer for next question)

4. Your portfolio, from previous question, now worth \$21,250 suddenly declines another \$2,125 or 10%, which best describes your response?

- I would look for a way to invest more
- I would take no action
- I would be somewhat concerned
- I would probably sell
- I would never have made this investment (Chose last answer on previous question)

5. Have you invested in Equities?

- No
- Yes



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6. Have you invested in Fixed Incomes?

- No
- Yes

7. Have you invested in Mutual Funds?

- No
- Yes

8. Have you invested in Options, Futures or Derivatives?

- No
- Yes

9. How would you describe your level of investment knowledge?

- None
- Limited
- Good
- Extensive

10. How much investment experience do you have?

- None
- Limited (1 to 3 years)
- Good (4 to 5 years)
- Extensive (more than 5 years)

11. Do you have current income needs from your investments?

- Yes
- No

12. When will you begin to use your invested funds?

- Less than 2 years
- 2 to 5 years
- 6 to 10 years
- More than 10 years