



# HACKMAN FINANCIAL GROUP

## **Form ADV Part 2B – Brochure Supplement**

for

**Stephen M. Hackman  
Investment Advisor Representative**

**Effective: February 21, 2023**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Stephen M. Hackman (CRD# 7492640) in addition to the information contained in the HFG Advisors, Inc. (“Hackman Financial Group” or the “Advisor”, CRD# 282027) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Hackman Financial Group Disclosure Brochure or this Brochure Supplement, please contact us at (513) 891-5300.

Additional information about Mr. Hackman is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7492640.

## **Item 2 – Educational Background and Business Experience**

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Stephen M. Hackman, born in 1994, is dedicated to advising Clients of Hackman Financial Group as an Investment Advisor. Mr. Hackman earned a Bachelor's degree from Miami University in 2016. Additional information regarding Mr. Hackman's employment history is included below.

### **Employment History:**

Investment Advisor, HFG Advisors, Inc.	02/2022 to Present
Registered Representative, LPL Financial, LLC	02/2022 to Present
Assurance Senior and Manager, Ernest & Young LLP	09/2016 to 01/2022

### **Certified Public Accountant™ (“CPA”)**

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants™ (AICPA®) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's® Code of Professional Conduct within their state accountancy laws or have created their own.

## **Item 3 – Disciplinary Information**

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**There are no legal, civil or disciplinary events to disclose regarding Mr. Hackman.** Mr. Hackman has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Hackman.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Hackman.**

However, we do encourage you to independently view the background of Mr. Hackman on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7492640.

## **Item 4 – Other Business Activities**

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### **Broker-Dealer Affiliation**

Mr. Hackman is also a registered representative of LPL Financial, LLC (“LPL Financial”). LPL Financial is a registered broker-dealer (CRD# 6413), member FINRA, SIPC. In Mr. Hackman's separate capacity as a registered representative, Mr. Hackman will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Hackman. Neither the Advisor nor Mr. Hackman will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Hackman's separate capacity as a registered representative. Mr. Hackman spends approximately 10% of his time per month in his role as a registered representative of LPL Financial.

## **Item 5 – Additional Compensation**

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Mr. Hackman has additional business activities where compensation is received that are detailed in Item 4 above.

## **Item 6 – Supervision**

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Mr. Hackman serves as an] Investment Advisor of Hackman Financial Group and is supervised by Michael Hackman, the Chief Compliance Officer. Mr. Hackman can be reached at (513) 891-5300.

Hackman Financial Group has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Hackman Financial Group. Further, Hackman Financial Group is subject to regulatory oversight by various agencies. These agencies require registration by Hackman Financial Group and its Supervised Persons. As a registered entity, Hackman Financial Group is subject to examinations by regulators, which may be announced or unannounced. Hackman Financial Group is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.