



## WORKPLACE RETIREMENT PLAN CONSULTATION & FEES

### Complimentary Consultation

It is an honor to be considered as a potential service partner as an ERISA fiduciary for your workplace retirement plan. Our consultation may help you fulfill your compliance responsibilities, while identifying opportunities to meet your goals. We offer the following services to begin demonstrating our value in the retirement plan space.

- Plan Design - Determine if your current plan design and services are achieving your goals, and potentially offer alternatives.
- Fiduciary Insights - Provide guidance and resources to meet your fiduciary duty under ERISA, and how to mitigate risk to reduce the potential liability of your plan fiduciaries.
- Fee Analysis - Help you meet your fiduciary obligation to understand your plan's fees. Interpret your 408(b)(2) & 404(a)(5) fee disclosures to determine component plan fees for each service provider and provide you a total cost analysis.
- Investment Review - Identify the cost, performance and diversification of your plan's investment menu. Evaluate your plan's Investment Policy Statement (IPS), (QDIA) and 404(c) compliance. Evaluate how your fund menu interfaces with participant behavior.
- Plan Benchmarking - Learn how your plan's investment returns, participation and contribution rates compare with similar plans. Examine options to better achieve plan goals. Ultimately, empower you and your employees, to focus on what matters most.

### Size of Plans We Serve

Our primary objective is to support both plan sponsors and participants to achieve desired outcomes. Highly credentialed, and fiduciary quality advice should not be limited to the large plan arena. Thus, we are pleased to serve the startup, small and mid-size plan market, and intend to grow together.

### Qualified Plan Advisory Fees

You deserve a transparent pricing model from all of your ERISA partners. We seek to deliver high quality advisory services at, or below, the fees our peers. **To provide simple and straightforward pricing, we use a flat fee schedule, where the fee is applied to the entire plan value.** This may compare favorably to a tiered pricing structure, where the initial dollars are assessed at higher rates.

<u>Qualified Plan Advisory Schedule</u>	<u>Annual Fee</u>
Start Up - \$1,000,000	Custom
\$1,000,000 - \$2,000,000	0.45%
\$2,000,000 - \$3,000,000	0.40%
\$3,000,000 - \$4,000,000	0.35%
\$4,000,000 - \$5,000,000	0.30%
\$5,000,000 - \$7,000,000	0.25%
\$7,000,000 - \$10,000,000	0.20%
Over \$10,000,000	Custom

*The fees schedule above is typical, but not considered a binding offer. Actual compensation may vary based on the existing platform, client needs and services provided, which are subject to change.*

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*Investment advisory services offered through Stewardship Financial, an SEC registered investment advisor*