



**Part 2B of Form ADV: *Brochure Supplement***

Steven Louis Bromberger  
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This brochure supplement provides information about Steven Louis Bromberger that supplements the IC Advisory Services, Inc. brochure. You should have received a copy of that brochure. Please contact Douglas A. Wright (908) 707-4422 if you did not receive IC Advisory Services, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Louis Bromberger is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Full Legal Name:** Steven Louis Bromberger      **Born:** 1966

### Education

- Salem State College; Bachelors, Business Administration; 1996

### Business Experience

- The Investment Center, Inc.; Registered Representative; from 1/4/2021 to Present
- IC Advisory Services, Inc.; Representative; from 1/4/2021 to Present
- Wells Fargo Funds Management LLC; Mass Transfer; from 1/4/2010 to 1/8/2020
- Evergreen Investment Management Co; Internal Sales Consultant; from 9/22/2005 to 1/4/2010

### Designations

Steven Louis Bromberger has earned the following designation(s) and is in good standing with the granting authority:

- Certified Fund Specialist®; Institute of Business and Finance; 2011  
The Certified Fund Specialist® (CFS®) designation is offered by The Institute of Business and Finance (IBF) to financial services professionals who successfully complete its 60-hour course focusing on mutual funds and mutual fund industry, pass a comprehensive exam, adhere to the IBF's code of ethics and complete 15 hours of continuing education courses each year.

## Item 3 Disciplinary Information

Steven Louis Bromberger has no reportable disciplinary history.

## Item 4 Other Business Activities

### A. Investment-Related Activities

1. Steven Louis Bromberger is also engaged in the following investment-related activities:

#### **Registered representative of a broker-dealer**

**Registered Representative of The Investment Center, Inc.** Mr. Bromberger is a registered representative of The Investment Center, Inc. ("TIC"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Bromberger in his individual capacity as a registered representative of TIC, to implement investment recommendations on a commission basis.

**Conflict of Interest.** The recommendation by Mr. Bromberger that a client purchase a securities commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any commission products from Mr. Bromberger. Clients are reminded that they may purchase investment products recommended by Mr. Bromberger through other, non-affiliated broker dealers. **The Registrant's Chief Compliance Officer, Douglas A. Wright, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

#### **Insurance company or agency**

**Licensed Insurance Agent.** Mr. Bromberger, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Bromberger to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Bromberger that a client purchase an insurance commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Bromberger. Clients are reminded that they may purchase insurance products recommended by Mr. Bromberger through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Douglas A. Wright, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

2. Steven Louis Bromberger receives commissions, bonuses or other compensation on the sale of securities or other investment products.

**Commissions.** In the event the client chooses to purchase investment products through *TIC*, brokerage commissions will be charged by *TIC* to effect securities transactions, a portion of which commissions shall be paid by *TIC* to Mr. Bromberger. The brokerage commissions charged by *TIC* may be higher or lower than those charged by other broker-dealers. In addition, *TIC*, as well as Mr. Bromberger, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Bromberger is separate and apart from IC Advisory Services Inc.'s investment management services discussed in the Registrant's *Brochure*.

#### **B. Non Investment-Related Activities**

Steven Louis Bromberger is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

#### **Item 5 Additional Compensation**

Steven Louis Bromberger does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 6 Supervision

**Supervisor:** Carl Kaliszewski

**Title:** Branch Manager

**Phone Number:** 508-949-8500

The supervisor listed above has the overall responsibility to oversee the activities of Mr. Bromberger.

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). The Registrant's Chief Compliance Officer, Douglas A. Wright, is primarily responsible for the implementation of the Registrant's policies and procedures. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Wright at (908) 707-4422.