

# Partnership with Avantax

A respected broker-dealer with deep tax knowledge and powerful technology

When you work with our firm, you have a direct connection to an abundance of financial and tax resources, thanks to our collaboration with a respected brokerage firm in the financial industry: Avantax Wealth Management<sup>SM</sup>.

With over 30 years of empowering financial professionals and tax professionals with investment advice, Avantax is dedicated to helping you pursue a holistic approach to meeting the challenges, demands, and planning that support your goals and help realize your dreams.

Avantax is recognized for their deep knowledge of tax-focused investment strategies, with financial advice that's aligned with your specific tax situation and strategies. To help you achieve financial well-being, they employ industry-leading technology that gives you access to state-of-the-art tools that open the doors to major financial markets around the world.

## AVANTAX BY THE NUMBERS

4,500\*

independent  
financial professionals

\$67B+\*

in assets  
under administration

## OUR PROCESS



## ABOUT ACCOUNT PROTECTION

Avantax Wealth Management collaborates with National Financial Services, LLC (NFS), a Fidelity Investments<sup>®</sup> company, to hold client brokerage account assets. NFS is a leading provider of clearing and custody services to quality financial institutions, including one of the largest retail brokerage firms in the United States. NFS's services include transaction execution, operational support, and the production of account statements and tax reporting documents.

Comprehensive investment solutions	Compliance oversight
Consultative financial planning	Timely financial market research and analysis
Innovative, industry-leading technology	

\*As of January 2020

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Avantax Wealth Management<sup>SM</sup> is the holding company for the group of companies providing financial services under the Avantax name. Securities offered through Avantax Investment Services<sup>SM</sup>, Member FINRA, SIPC. Investment advisory services offered through Avantax Advisory Services<sup>SM</sup>. Insurance services offered through licensed agents of Avantax Insurance Agency<sup>SM</sup> and Avantax Insurance Services<sup>SM</sup>. 6333 N. State Highway 161, Fourth Floor, Irving, TX 75038, 972-870-6000

# Tax-Smart Investing (TSI) Technology

Next-generation technology that powers your financial professional

Just as our firm is powered by Avantax, Avantax is powered by **Tax-Smart Investing™ (TSI)**. This proprietary technology is designed to uncover potential tax savings and wealth management strategies for you — all in a fraction of the time it would take manually.

The TSI technology helps our firm maintain a critical tax focus on your financial planning strategies and investment decisions.

Among the many things TSI helps your financial professional do:

- *Deliver timely account-level information*
- *Quickly pinpoint opportunities to use losses to offset taxable gains*
- *Identify and analyze the impact of capital gains distributions on your accounts*
- *Provide a comprehensive analysis of your Social Security benefits*
- *Tax-optimize security placements in your accounts*
- *Keep your personal information secure at all times*

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