

Item 1- Cover Page

Brochure Supplement



**HACKMAN
FINANCIAL GROUP**

HFG Advisors, Inc.

A Registered Investment Advisor

Doing Business As: Hackman Financial Group

Steven Duc Nguyen

7355 E. Kemper Road, Suite A | Cincinnati, OH 45249

Tel (513) 891-5300

June 9, 2016

This brochure supplement provides information about Steven Nguyen that supplements the HFG Advisors, Inc. disclosure brochure (“brochure”). You should have received a copy of that brochure that describes the investment advisory services offered through HFG Advisors, Inc., an investment adviser firm. Please contact Michael Hackman, Chief Compliance Officer, at (513) 891-5300 if you did not receive HFG Advisors, Inc.’s brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Steven Nguyen is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

This section of the brochure supplement includes the supervised person's name, age (or year of birth), formal education after high school, and business background (including an identification of the specific positions held) for the preceding five years.

Steven Duc Nguyen (CRD# 2502027)

Year of birth: 1959

Education

The following information details your financial advisor's formal education. If a degree was attained, the type of the degree will be listed next to the name of the institution. If a degree is not listed, the Financial Advisor attended the institution but did not attain a degree.

Butler University; Master of Business Administration
1995 – 1997

The Ohio State University; B.S. / B.A. Accounting / Finance
1988 – 1992

Business Experience

The following information details your financial advisor's business experience for at least the past 5 years.

HFG Advisors, Inc. – Investment Advisor Representative
03/2016 – Present

LPL Financial, LLC – Registered Representative
09/2009 – Present

Mutual Service Corporation – Registered Representative
04/1999 – 09/2009

Item 3 – Disciplinary Information

This section includes any legal or disciplinary events and material to a client's or prospective client's evaluation of the supervised person.

Steven Nguyen has no legal or disciplinary events required to be disclosed in response to this item. There may be items that are contained on www.brokercheck.finra.org or www.adviserinfo.sec.gov that you may wish to review and consider in your evaluation of your advisor's background.

Item 4 – Other Business Activities

This section includes any relationship between the advisory business and the supervised person's other financial industry activities that creates a material conflict of interest with clients and describes the nature of the conflict and generally how it is addressed. If the supervised person is actively engaged in any investment-related business or occupation, including if the supervised person is registered, or has an application pending to register, as a broker-dealer, registered

representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, the business relationship, if any, between the advisory business and the other business is disclosed below.

Insurance Licensed Registered Representative: Your financial advisor is also a broker or registered representative of LPL Financial and may receive commissions and other types of compensation for the sale of securities. He also may sell insurance and may receive commissions for insurance product sales. The potential for the receipt of commissions may give a broker an incentive to recommend investment or insurance products based on the compensation received, rather than on the client's needs. However, your financial advisor may only recommend securities and insurance products that he believes are suitable for you. If you have any questions regarding the compensation he receives when recommending a product, you should ask him. You are under no obligation to purchase investment products or insurance through your financial advisor.

Dually Registered with LPL Financial, an SEC registered Investment Adviser
Steven Nguyen is dually registered with LPL Financial, an unaffiliated SEC registered investment adviser. Investment advice is provided through LPL Financial for a fee separate and apart from the investment advisory services your advisor offers through HFG Advisors. Prior to receiving investment advice through this separate entity, clients are required to enter into a separate agreement with LPL Financial.

Other Activities:

Your financial advisor is a Benefits Consultant at Comprehensive Benefits Solution, LLC. He spends 10% of his time on this activity.

Item 5 – Additional Compensation

HFG Advisors, Inc. is required to disclose information regarding any arrangement under which your financial advisor receives an economic benefit from someone other than a client for providing investment advisory services.

Your financial advisor may receive economic benefits from persons other than clients in connection with advisory services. He provides services in an Asset Management account and may recommend mutual funds. Only no-load and load-waived mutual funds are available to be purchased in such asset management accounts. However, some of these mutual funds may pay distribution or service fees (e.g., 12b-1 fees). When your financial advisor provides investment advisory services, he is a fiduciary under the Investment Advisers Act and has a duty to act in your best interest and to make full and fair disclosure to you of all material facts and conflicts of interest. Your financial advisor may receive compensation from product sponsors. Compensation may include such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational or training events or marketing or advertising initiatives. Such compensation may not be tied to the sale of any products. Your financial advisor receives compensation as a result of your participation in LPL Financial advisory programs. LPL Financial shares a portion of the account fee you pay

with him, which may be more than what he would receive at another investment advisor firm. This compensation may also include other types of compensation, such as bonuses, awards or other things of value offered by LPL Financial. LPL Financial may pay him in different ways, such as payments based on production, awards of stock options to purchase shares of LPL Financial's parent company, LPL Financial Holdings Inc., reimbursement of fees that he may pay to LPL Financial for items such as administrative services, and other things of value such as free or reduced-cost marketing materials, payments in connection with the transition of association from another broker/dealer or investment advisor firm to LPL Financial, advances of advisory fees, or attendance at LPL Financial's national conference or top producer forums and events. LPL Financial may pay your advisor this compensation based on his overall business production and/or on the amount of assets serviced in LPL Financial advisory programs. Therefore, the amount of this compensation may be more than what he would receive if a client participated in other LPL Financial programs, programs of other investment advisor firms or paid separately for investment advice, brokerage and other client services. Therefore, he may have a financial incentive to recommend an advisory program over other programs and services. However, he may only recommend a program or service that he believes is suitable for you.

Your financial advisor may receive compensation from product sponsors. Compensation may include such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational or training events or marketing or advertising initiatives. Such compensation may not be tied to the sale of any products.

Your financial advisor may have received a loan and/or transition payments from LPL Financial in order to assist with transitioning business to LPL Financial's custodial and brokerage platforms. This presents a potential conflict of interest in that your financial advisor has a financial incentive to recommend that you maintain an account with LPL Financial. However, to the extent your financial advisor recommends you engage LPL Financial, it is because your financial advisor believes that the advisory program or service is appropriate for you.

Item 6 - Supervision

This section explains how the firm supervises the supervised person, including how the advice the supervised person provided to clients is monitored.

HFG Advisors, Inc. maintains a supervisory structure and system reasonably designed to prevent violations. Your advisor's securities-related activities are supervised by an individual registered as a principal in accordance with FINRA regulations. In addition, compliance staff uses tools that monitor the advisory services provided by your financial advisor, for example, with respect to asset allocation, concentration, and account activity. The Chief Compliance Officer is responsible for administering the HFG Advisors, Inc. policies and procedures for investment advisory activities and for regularly evaluating their effectiveness. The Chief Compliance Officer, Michael Hackman, may be reached at (513) 891-5300.

Item 7 - Requirements for State-Registered Advisers

In addition to the events listed in Item 3 of Part 2B, if the supervised person has been involved in one of the events listed below, disclose all material facts regarding the event. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Steven Nguyen has not been involved in any of the above listed events.

An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Steven Nguyen has not been involved in any of the above listed events.

If the *supervised person* has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

Steven Nguyen has not been involved in any of the above listed events.