

**P. J. Schmidt Investment Management, Inc.**  
**Annual Privacy Notice Form**

**Regulation S-P, Privacy of Personal Information**

P.J. Schmidt Investment Management, Inc.'s primary client goal is to protect your privacy.

To conduct regular business, we may collect nonpublic personal information which is necessary to ensure that your account(s) is managed in a manner consistent with investment objectives and personal financial goals. This information will be obtained from sources such as:

- Information we receive from you or your authorized agent regarding your circumstances, needs and objectives.
- Information reported by you on your applications or other forms you provide to us.
- Information about your accounts or transactions which we receive from brokers, accountants, attorneys or other associated third parties.

As the Firm shares nonpublic information solely to service our client accounts, we do not disclose any nonpublic personal information about our customers or former customers without prior approval to anyone, except as permitted by law.

To provide the utmost in service, we may disclose the information below regarding customers and/or former customers, as necessary, to companies to perform certain services on our behalf or to other financial institutions that provide services to the client or as required by law.

- Information the firm receives from clients on applications or other forms (name, social security number, address, assets, etc.)
- Information about client transactions with our firm or others (account information, tax information, payment history, parties to transactions, etc.)

**Information Safeguarding**

P.J. Schmidt Investment Management, Inc. will internally safeguard your nonpublic personal information by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic and procedural safeguards to protect your nonpublic personal information.

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