

Global Wealth Strategies, LLC dba Holbrook Global Strategies

CLIENT RELATIONSHIP SUMMARY June 15, 2020

Global Wealth Strategies, LLC, dba Holbrook Global Strategies, is an investment adviser registered with the U.S. Securities and Exchange Commission. Brokerage and Investment Advisory services and fees differ, and it is important you understand those differences.

Free and simple tools are available to you to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Our services are focused on portfolio management, helping clients invest their portfolios across a range of asset classes. When requested, we also help clients with financial planning related issues. In the area of portfolio management, we help clients with the management of their portfolio in liquid asset classes such as stocks, bonds, mutual funds, and ETF's. We also help clients invest in illiquid areas such as real estate, private debt and private equity.

For the liquid portion of the portfolio, such as stocks and bonds, we manage the portfolio on a discretionary basis. This means that once we have discussed and agreed on your investment objectives, we will place trades on your behalf. For the illiquid portion of the portfolio, we will not invest without first consulting with you and explaining the pros and cons of the potential investment. For both the liquid and illiquid portions of the portfolio, we monitor the investments on an ongoing basis. We communicate with you regularly about your investments.

Our minimum account size is \$1,000,000 although it can be waived at our discretion.

For more information, please review the sections entitled Types of Advisory Services and Types of Clients in our Form ADV Part 2A which is available [here](#).

Conversation starters: Given my financial situation, should I choose an investment advisor service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

For our primary portfolio management service, we charge a fee based on the size of the portfolio. The fee is based on a percentage of the assets under management, and is charged on a quarterly basis, in advance. Conflicts of interest: the more assets there are in your account, the more you will pay in fees. This means we have an incentive to encourage you to increase the assets in your account. For financial planning, we may charge a fixed or an hourly fee. Our only source of income is the investment advisory or financial planning fees that we charge. We collect no commissions or other payments. You will however, incur other fees, such as mutual fund and ETF management fees, limited partnership fees, trading commissions, and custodial and retirement account fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand the fees and costs you are paying. For more information please see the section entitled Fees and Compensation in our Form ADV Part 2A, available [here](#).

Conversation Starters: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

As an independent registered investment adviser, we act as a fiduciary in the management of your assets. This means that we have to act in your best interest and place your interests ahead of our own. Our fee-only structure is intended to align our interests with yours and reduce conflicts of interest. However, they can still exist. For example, conflicts could arise due to the fact that our fees increase as your assets increase, thereby giving us an incentive to encourage you increase your assets with our firm.

Conversation Starters: How might your conflicts of interest affect me, and how will you address them?

For more information, please see the Selecting Brokerage Firms and Brokerage for Client Referrals sections of our Form ADV Part 2A which is available [here](#).

How do your financial professionals make money?

Our only compensation is from the fees we receive from our clients. We receive no commissions or other payments from any of the investment products that we may recommend.

Do you or your financial professionals have legal or disciplinary history?

No. Please visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professional.

Conversation Starters: As a financial professional, do you have any disciplinary history? For what type of conduct?

You can find out more information about our investment advisory service by visiting <https://adviserinfo.sec.gov/> and typing in our firm name.

You may contact Rick Holbrook at 650-346-2630 or rick@holbrookwealth.com for more information or to request a copy of the Client Relationship Summary.

Conversation Starters: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?