

**DEMPSEY LORD SMITH, LLC**

**Miscellaneous Fees**

|  |          |
|--|----------|
| Annual Custody.....                      | \$40.00  |
| IRA Annual Maintenance.....              | \$35.00  |
| Full TOA Delivery.....                   | \$85.00  |
| IRA Termination.....                     | \$125.00 |
| Trade Extension.....                     | \$15.00  |
| Bounced Check.....                       | \$25.00  |
| Legal Transfer.....                      | \$25.00  |
| DRS Registration/Ship DRS Statement..... | \$65.00  |
| Mailgram.....                            | \$10.00  |
| Stop Payment.....                        | \$25.00  |
| Physical Reorg.....                      | \$25.00  |
| Legal Return.....                        | \$25.00  |
| Brokerage Access.....                    | \$75.00  |
| Brokerage Portfolio Premier.....         | \$125.00 |
| Premier Access Metal Card Upgrade.....   | \$10.00  |
| Transfer and Ship Certificates.....      | \$230.00 |
| Share Class Conversion.....              | \$8.00   |
| Overnight Checks.....                    | \$25.00  |
| Alternative Investments                  |          |
| Non-Registered .....                     | \$125.00 |
| Registered.....                          | \$35.00  |
| Select 529 Plan:                         |          |
| New Hampshire (Fidelity).....            | \$20.00  |
| Ohio (Blackrock).....                    | \$25.00  |
| Virginia (American).....                 | \$0.00   |