



## Schedule of Client Account and Service Fees

The listed fees do not include commissions, markups, commission equivalents or advisory fees. Some of these fees may not apply to all account types. Some of these fees may be waived under certain conditions.

ACCOUNT OR SERVICE	FEE AMOUNT	FREQUENCY
<b>ACCOUNT MAINTENANCE</b>		
Annual IRA Fee (Qualified Accounts)	\$35	Annual
Record Keeping Fee (Non Qualified Accounts)	\$45	Annual (*Waived if certain activity criteria is met)
Overnight Mail	\$25	Per Mailed Item/as Requested
Termination Fee (IRA Accounts)	\$125 (plus Annual IRA fee)	As account is closed
<b>Transfer Fees</b>		
Full Outgoing Transfer	\$125	Per Request
Outgoing Transfer to Transfer Agent (DRS Eligible)	\$15	Per Request
Outgoing Transfer to Transfer Agent (Non-DRS Eligible)	\$220	Per Request
<b>CASH MANAGEMENT SERVICES</b>		
<b>Checking</b>		
Select Access	\$10	Annual
• ATM Fees	\$1	Per Transaction
• Checks	\$5	Annual
• Debit Card	\$5	Annual
Premier Access	\$100	Annual
• ATM Fees	5 Free per year/\$1 after	Per Transaction
• Checks	Free	N/A
• Debit Card	Free	N/A
<b>Other Cash Management Services</b>		
Buys and Sells as part of a Periodic Plan	\$3.50	Per Transaction
Wire Transfer Fee	\$15	Per Wire
Returned Check Fee	\$20	Per check
Stop Payment on a Check	\$20	Per check
<b>INVESTMENT SPECIFIC</b>		
<b>Alternative Investments</b>		
Redemption, Transfer, and Re-Registration	\$50	Annual
Custody Fee (Registered Product)	\$35	Annual per position (Capped at maximum \$300 charge per account)
Custody Fee (Non-Registered Product)	\$75	Annual per position (Capped at maximum \$300 charge per account)
990-T Filing Fee	\$300	Annual
<b>Physical Certificates</b>		
Safekeeping	\$10	Monthly
Certificates Deposited into NFS Legal Department	\$90	Per Certificate deposited
Certificates Returned from NFS Legal Department	\$60	Per Certificate returned
Reorganization Fee (deposited after action date)	\$36	Per Certificate deposited
<b>Trades</b>		
Service Fee	\$7.50	Per Transaction
Trade Extension	\$12.00	Per Request
<b>Foreign Exchange Transactions</b>		
Executed through Fidelity Forex Desk	\$15	Per Transaction
Executed through approved Counterparty	\$40	Per Transaction
<b>Precious Metals Custody and Delivery</b>		
Storage Fee	0.5% of 0.125% of value	Quarterly
Delivery Fee	\$5	Per Request
Shipping Charges	\$20 + FedEx shipping charges by weight	Per Request