



# Ticket Charges and Fees

<b>Service Fees</b>	<b>Fees</b>
Equities, Fixed Income & Mutual Funds	\$7.00 - \$22.50 per Transaction
<b>Certificate Processing Fees</b>	<b>Fees</b>
Deposited Stock Certificate Requires Legal Documentation	\$95.00
Physical Reorganization	\$40.00
Legal Return - Due to "Not In Good Order"	\$60.00
Safekeeping	\$7.00 per Position - per Month
Transfer & Ship Certification Non DRS ELIG - Physical Certification	\$225.00 per Position
Transfer & Ship or De-Networking	\$35.00 per Transaction
<b>Alternative Investments Fees</b>	<b>Fees</b>
Alternative Investments Transfers/Re-registration	\$50.00 per Transaction
Annual Alternative Investment Custody & Valuation	Registered      \$35.00 per Position - Annually Non-Registered      \$125.00 per Position - Annually
<b>Money Movement Fees</b>	<b>Fees</b>
Bounced Checks	\$35.00 per Transaction
FED Fund Wire Transfer	\$15.00
Stop Payment	\$40.00
<b>Account Maintenance Fees</b>	<b>Fees</b>
Annual Custody & Record Keeping/Inactivity	\$40.00
IRA Annual Maintenance	\$35.00
IRA - Retirement Account Closing	\$95.00
Outgoing Transfer	\$125.00
<b>Checkwriting/Debit Card Fees</b>	<b>Fees</b>
Broker Portfolio Premier	\$100.00 Annually
Check Re-Order	\$5.00
Debit Card	\$50.00 Annually
<b>Miscellaneous Fees</b>	<b>Fees</b>
Mailgram	\$10.00
Trade Extensions	\$25.00 per Transaction

Vanderbilt Financial Group is the marketing name for Vanderbilt Securities, LLC and its affiliates.  
Securities offered through Vanderbilt Securities, LLC. Member FINRA, SIPC. Registered with MSRB.

Clearing agent: Fidelity Clearing & Custody Solutions

Advisory Services offered through Vanderbilt Advisory Services & Consolidated Portfolio Review.

Clearing agents: Fidelity Clearing & Custody Solutions, Charles Schwab & TD Ameritrade

Insurance Services offered through Vanderbilt Insurance and other agencies

Supervising Office: 125 Froehlich Farm Blvd, Woodbury, NY 11797 • 631-845-5100