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LASALLE ST. SECURITIES, L.L.C.  
940 N. INDUSTRIAL DR.

ELMHURST, IL 60126-1131

NOTE: BROKER-DEALERS ARE REQUIRED TO DISCLOSE ANY MATERIAL ARRANGEMENTS WITH  
THE VENUES

LISTED IN EACH SECTION BELOW, INCLUDING, BUT NOT LIMITED TO, ANY  
INTERNALIZATION

OR PAYMENT FOR ORDER FLOW ARRANGEMENTS

SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31, 2019

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS  
100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
78.69%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
16.58%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
4.73%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC  
47.63%

2. VIRTU Americas LLC  
29.41%

3. National Financial Services LLC  
7.68%

4. G1 Execution Services, LLC  
4.83%

5. UBS Securities, LLC  
2.41%

6. LaSalle Street Securities, Inc.  
1.60%

7. New York Stock Exchange  
1.45%

8. Cboe EDGX U.S. Equities Exchange  
1.34%

9. Two Sigma Securities, LLC  
1.21%

10. NASDAQ Execution Services, LLC  
1.08%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
51.12%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
36.18%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
29.66%

2. VIRTU Americas LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 28.70%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 33.73%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 26.21%

3. National Financial Services LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 9.16%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 1.08%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 6.21%

4. G1 Execution Services, LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 5.91%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 0.79%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 1.03%

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5. UBS Securities, LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 1.76%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 4.72%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 5.17%

6. LaSalle Street Securities, Inc.  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 2.03%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 0.00%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

7. New York Stock Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 8.65%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.34%  
 8. Cboe EDGX U.S. Equities Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 0.00%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 8.06%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%  
 9. Two Sigma Securities, LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 1.28%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 0.29%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 3.10%  
 10. NASDAQ Execution Services, LLC  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 0.00%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 5.60%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 3.10%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31, 2019

SECURITIES LISTED ON THE NASDAQ STOCK MARKET

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS

100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

78.21%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

18.71%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

3.08%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC

45.82%

2. VIRTU Americas LLC  
 30.55%  
 3. National Financial Services LLC  
 6.29%  
 4. NASDAQ Execution Services, LLC  
 4.73%  
 5. G1 Execution Services, LLC  
 4.37%  
 6. UBS Securities, LLC  
 2.72%  
 7. Cboe EDGX U.S. Equities Exchange  
 2.25%  
 8. Two Sigma Securities, LLC  
 1.04%  
 9. LaSalle Street Securities, Inc.  
 0.60%  
 10. Cboe BZX U.S. Equities Exchange  
 0.41%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC  
     TYPES OF ORDERS ROUTED TO VENUE :  
         MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 52.13%  
         LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 23.49%  
         OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 21.43%  
 2. VIRTU Americas LLC  
     TYPES OF ORDERS ROUTED TO VENUE :  
         MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 30.84%  
         LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 31.42%  
         OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 17.86%  
 3. National Financial Services LLC  
     TYPES OF ORDERS ROUTED TO VENUE :  
         MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 7.41%  
         LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 1.47%  
         OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 7.14%  
 4. NASDAQ Execution Services, LLC  
     TYPES OF ORDERS ROUTED TO VENUE :  
         MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 0.00%  
         LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 24.23%  
         OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 6.25%

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5. G1 Execution Services, LLC

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
5.16%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
1.76%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%

6. UBS Securities, LLC

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
2.32%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
3.96%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
5.36%

7. Cboe EDGX U.S. Equities Exchange

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
11.89%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.89%

8. Two Sigma Securities, LLC

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
1.33%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
0.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%

9. LaSalle Street Securities, Inc.

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.77%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
0.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%

10. Cboe BZX U.S. Equities Exchange

TYPES OF ORDERS ROUTED TO VENUE :

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
1.76%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
2.68%

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SECURITIES LISTED ON THE NYSE MKT LLC OR REGIONAL EXCHANGES  
SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS  
100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
84.32%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
14.81%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
0.87%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC  
49.54%
2. VIRTU Americas LLC  
35.60%
3. G1 Execution Services, LLC  
5.48%
4. UBS Securities, LLC  
2.65%
5. National Financial Services LLC  
2.47%
6. Two Sigma Securities, LLC  
1.69%
7. Cboe EDGX U.S. Equities Exchange  
0.69%
8. NYSE Arca  
0.59%
9. NASDAQ Execution Services, LLC  
0.50%
10. Cboe BZX U.S. Equities Exchange  
0.32%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
56.15%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
11.73%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
52.63%

2. VIRTU Americas LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
31.27%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
61.11%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
21.05%  
3. G1 Execution Services, LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
6.45%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
0.31%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%  
4. UBS Securities, LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
1.25%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
10.49%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
5.26%

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5. National Financial Services LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
2.82%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
0.31%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
5.26%  
6. Two Sigma Securities, LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
1.90%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
0.00%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
10.53%  
7. Cboe EDGX U.S. Equities Exchange  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
4.63%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%  
8. NYSE Arca

TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
4.01%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%  
9. NASDAQ Execution Services, LLC  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
3.40%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%  
10. Cboe BZX U.S. Equities Exchange  
TYPES OF ORDERS ROUTED TO VENUE :  
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
0.00%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
2.16%  
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31, 2019

EXCHANGE - LISTED OPTIONS  
SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS  
100.00%  
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
26.38%  
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
72.59%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS  
1.04%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Cboe Options Exchange  
23.36%  
2. NASDAQ PHLX Options  
15.58%  
3. NYSE Arca Options  
12.56%  
4. NASDAQ Options Market  
10.59%



- 5. Cboe EDGX Option Exchange  
8.31%
- 6. ISE Gemini Options Exchange  
6.44%
- 7. BOX Option Exchange  
5.71%
- 8. The MIAX Options Exchange  
5.61%
- 9. Cboe BZX Options Exchange, Inc.  
3.63%
- 10. NYSE American Options  
2.60%

INFORMATION CONCERNING SIGNIFICANT VENUES :

- 1. Cboe Options Exchange
  - TYPES OF ORDERS ROUTED TO VENUE :
  - MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
46.06%
  - LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
15.31%
  - OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
10.00%
- 2. NASDAQ PHLX Options
  - TYPES OF ORDERS ROUTED TO VENUE :
  - MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
21.65%
  - LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
12.73%
  - OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
60.00%
- 3. NYSE Arca Options
  - TYPES OF ORDERS ROUTED TO VENUE :
  - MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
1.97%
  - LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
16.60%
  - OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%
- 4. NASDAQ Options Market
  - TYPES OF ORDERS ROUTED TO VENUE :
  - MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
1.18%
  - LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
14.16%
  - OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
0.00%

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5. Cboe EDGX Option Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 1.18%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 10.59%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 30.00%

6. ISE Gemini Options Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 2.36%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 8.01%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

7. BOX Option Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 4.72%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 6.15%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

8. The MIAX Options Exchange  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 7.48%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 5.01%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

9. Cboe BZX Options Exchange, Inc.  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 1.57%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 4.43%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

10. NYSE American Options  
 TYPES OF ORDERS ROUTED TO VENUE :  
 MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS  
 5.51%  
 LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS  
 1.57%  
 OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS  
 0.00%

\*END OF DATA\*