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As seasoned financial professionals, we had concerns about unseen risk “lurking” beneath the surface in investor accounts under our management. Unable to find a solution, we created RiskPro to solve the problem. We then realized that the problem was systemic throughout the financial industry, so we decided to offer RiskPro to other financial enterprises.

RiskPro is an automated risk profiling and portfolio construction tool that assists advisers and brokers in building suitable portfolios. In addition, RiskPro provides automated surveillance of client accounts, by scanning and reviewing accounts on a daily basis, in order to identify potential issues of investor suitability.

This cutting-edge technology can identify individual securities within a portfolio that are the cause of potentially excessive risk, as well as identify portfolios that are not aligned with a client’s paperwork.

Once RiskPro shines a light on portfolio risk, the tool’s automated surveillance and documentation system makes it easy for brokers or advisers to mitigate the risk by taking the appropriate action (i.e., reallocating a portfolio, making new recommendations, updating an investor’s risk profile). RiskPro also provides back offices and compliance teams the ability to set firm-specific risk rules for advisers or brokers and their corresponding client accounts.

Furthermore, RiskPro enables advisers and brokers to produce detailed proposals that include comparisons of the investment

risk of client assets held elsewhere, providing financial professionals with ability to care holistically for their client's financial well-being, while growing their business.

Give your advisers and brokers, along with your compliance team, a "leg up" on the competition and on regulators, by utilizing RiskPro to provide a deeper and more consistent analysis of client accounts under your fiduciary responsibility. Our solutions-driven development team understands that it takes ALL members of your firm moving forward in the right direction to achieve your business goals in the most compliant way possible.

Let us supply you with the innovation that paves your way!

Email us about a complimentary scan and report: Solutions@RiskProAdvisor.com



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Disclosure: RiskPro® is an investment risk profiling and portfolio construction, and surveillance software as a service platform developed by ProTools, LLC ("ProTools"). ProTools is a technology company headquartered in Newport Beach, CA. RiskPro is a risk analysis tool that provides information only and not intended to provide investment advice. For more information, visit www.riskproadvisor.com



On the web:

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