

Kimberly Kay Doleman

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Portside Wealth Group, LLC

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Kim Doleman that supplements the Portside Wealth Group, LLC brochure. You should have received a copy of that brochure. Contact us at 385-412-1222 if you did not receive Portside Wealth Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Kim Doleman (CRD # 5121254) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Kimberly Kay Doleman

Year of Birth: 1972

Formal Education After High School:

- University of Phoenix in Denver, MS Organizational Management, 1999
- University of Phoenix in Denver, BS Business Management, 1997
- The Community College of Denver, Nursing, 2005

Business Background:

- Portside Wealth Group, LLC, Investment Advisor Representative, 5/2023 - Present
- Concorde Investment Services, Registered Representative, 5/2023 - Present
- Advent Health, Registered Nurse, (2006-2019) Medical Surgical Board Certified, 7/2005 - Present
- TownSquare Capital, LLC, Investment Advisor Representative, 3/2018 - 6/2023
- DFIG Investments, Inc., Registered Representative, 2/2019 - 5/2023
- Allegis Investment Services, LLC, Registered Representative, 7/2015 - 2/2019
- Allegis Investment Advisors, LLC, Investment Advisor Representative, 7/2015 - 4/2018
- J. Daniel Brinker & Company, Client Service Manager, 2/2000 - 4/2017

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Kimberly Kay Doleman has no required disclosures under this item.

Item 4 Other Business Activities

Kimberly Kay Doleman is a Registered Representative with Concorde Investment Services. Concorde Investment Services is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Doleman may recommend securities or insurance products offered by Concorde Investment Services as part of your investment portfolio. If you purchase these products through Ms. Doleman, she will receive the customary commissions in her separate capacity as a Registered Representative of Concorde Investment Services.

Additionally, Ms. Doleman could be eligible to receive incentive awards that Concorde Investment Services may offer. She will also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation will give Ms. Doleman an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Kimberly Kay Doleman has a fiduciary duty to act in your best interest when acting in an investment adviser representative capacity, including the duty to seek best execution. Therefore, our Company's mutual fund selection and recommendation process takes into consideration several factors in order to meet this requirement. See the ADV Part 2A Brochure, the *Brokerage Practices* section, for additional information on our mutual fund share class selection process.

As stated above, persons providing investment advice to advisory clients on behalf of our firm are registered representatives with Concorde Investment Services. In their capacity as registered representatives, these persons receive compensation in connection with the purchase and sale of securities or other investment products, including asset-based sales charges, service fees or 12b-1 fees for the sale or holding mutual funds. Compensation earned by these persons in their capacities as

registered representatives is separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice to advisory clients on behalf of our firm who are registered representatives have an incentive to recommend investment products based on the compensation received rather than solely based on your needs. Persons providing investment advice to advisory clients on behalf of our firm can select or recommend, and in many instances will select or recommend, mutual fund investments in share classes that pay 12b-1 fees when clients are eligible to purchase share classes of the same funds that do not pay such fees and are less expensive. This presents a conflict of interest. You are under no obligation, contractually or otherwise, to purchase securities products through a person affiliated with our firm.

Kimberly Kay Doleman is a Registered Nurse with Medical Surgical Board Certification for Advent Health from (2006-2019). Ms. Doleman's duties as a Registered Nurse for Advent Health does not create a conflict of interest to her provision of advisory services through Portside Wealth Group, LLC.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Ms. Doleman's receipt of additional compensation as a result of her other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section of Portside Wealth Group, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Portside Wealth Group, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Staci Compagno, Chief Compliance Officer

Supervisor phone number: 385-412-1222