

**Documents Needed For Financial Planning**

Some or all of the following documents provide information that is important as we work to create your financial plan. This material will be entirely confidential and returned when your

plan is completed, or earlier, if requested.

Income Tax Returns (prior 2 years) Most Recent Payroll Stub

Self Self

Spouse Spouse

Savings and Retirement Statements Company Benefit Statements/Booklets

Pension Plan/Profit Sharing Self

Keogh/SEP Spouse

401(k)/Tax Sheltered Annuity

 Employee Deferred Compensation Current Financial Statements

IRA/Roth IRA Personal Net Worth

Savings Monthly Expenses

Mutual Funds and Brokerage Accounts

Social Security Statements Wills/Trusts

 Self

Insurance/Annuity Contracts, Statements Spouse

and In-force Illustrations

 Life Business Documents

Health Income Tax Returns

Disability Buy/Sell Agreement

Group Insurance Deferred Compensation

 Annuities Wage Continuation

 Long Term Care Employment Agreement

Umbrella Policy Group Benefit Programs

 Other Employer Paid Benefits

Financial Plan(s) and Analysis

 Existing Comprehensive