



## PFG JP Morgan Tactical Moderate Strategy R (PFJDX)

Powered by:

**J.P.Morgan**  
Asset Management

Fund Adviser:

Pacific Financial Group, LLC

Tolerance Range:

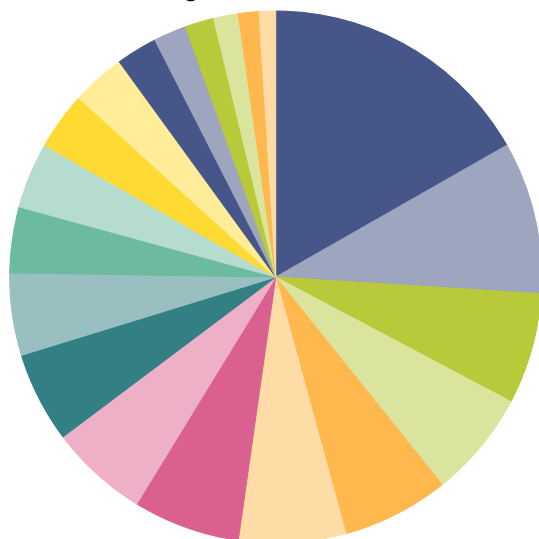
20% - 30% | 24% as of 9/30/2021

### Investment Strategy: Tactical, Active and Passive

Pacific Financial Group, LLC serves as the asset allocation strategist for this Fund by primarily investing in mutual funds and ETFs managed by J.P. Morgan. Pacific Financial Group, LLC uses a multi-asset class approach to pursue modest capital appreciation through a blend of equity and fixed income securities. The strategy also incorporates a wide variety of sectors and styles to dynamically manage risk while optimizing return. In addition, the Fund seeks to limit the maximum range of total returns to a gain or loss of less than 30%, over a forward-looking rolling twelve month period through the use of RiskPro®.

*Mutual Funds involve risk including the possible loss of principal. The holdings below illustrate examples of the securities that the fund has bought and the diversity of areas in which the funds can invest, and will not be representative of the fund's current or future investments. Portfolio holdings are subject to change and should not be considered to be investment advice.*

### Portfolio Holdings



	%
JPMorgan Core Bond R6	16.75
JPMorgan Income R6	9.25
JPMorgan Large Cap Value R6	6.75
JPMorgan Diversified Return EMkts Eq ETF	6.50
JPMorgan Diversified Return Intl Eq ETF	6.50
JPMorgan Diversified Return US Eq ETF	6.50
JPMorgan US Value Factor ETF	6.50
JPMorgan Equity Index R6	6.00
JPMorgan Europe Dynamic R6	5.50
JPMorgan International Focus R6	5.00
JPMorgan Core Plus Bond R6	4.00
JPMorgan US Momentum Factor ETF	4.00
BlackRock Liquidity FedFund Instl	3.50
JPMorgan Hedged Equity R6	3.25
JPMorgan Large Cap Growth R6	2.50
JPMorgan Divers Ret US Mid Cp Eq ETF	2.00
JPMorgan Realty Income R6	1.75
JPMorgan High Yield R6	1.50
Undiscovered Managers Behavioral Val R6	1.25
JPMorgan Divers Ret US Small Cap Eq ETF	1.00
<b>Total</b>	<b>100.00</b>

Fund Size

\$ 176,882,834.00

### Powered By

**J.P.Morgan**  
Asset Management

J.P. Morgan is a global leader in asset and wealth management services. The Asset & Wealth Management line of business serves institutional, ultra-high net worth, high net worth and individual clients through its Asset Management and Wealth Management businesses. With client assets of \$3.3 trillion, we are one of the largest asset and wealth managers in the world. (Assets as of December 31, 2020.)

### Portfolio Risk Assessment and Monitoring



Based on proprietary algorithms, RiskPro® provides an estimate of the maximum range of gain or loss of a portfolio of securities, over a forward-looking rolling twelve-month period. The Fund Adviser monitors the Fund daily to ensure the Fund maintains an appropriate level of risk within a pre-determined tolerance range. There is no guarantee that a Model will not exceed its tolerance level.



Find the PFG JP Morgan Tactical Moderate Strategy R (PFJDX) in these Strategy PLUS™ model categories:

- Focus PLUS™
- Target PLUS™
- Multi PLUS™

Source: Morningstar Direct

As of September 30, 2021



As of September 30, 2021

**PFG JP Morgan Tactical Moderate Strategy R (PFJDX)**

**Equity Style Box**

Portfolio Date: 9/30/2021

	Value	Blend	Growth
Large	19.7	24.2	17.9
	13.0	14.3	4.2
Mid	3.4	2.8	0.6
Small			

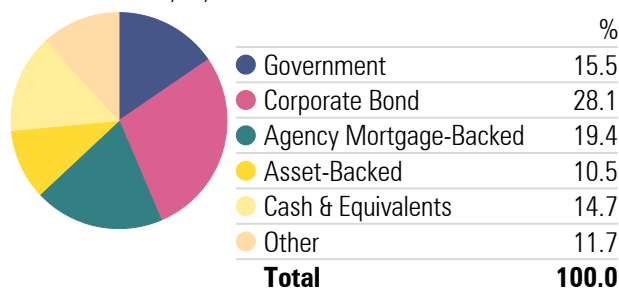
**Equity Sector Allocation**

Portfolio Date: 9/30/2021

Basic Materials %	6.5
Consumer Cyclical %	10.4
Financial Services %	14.6
Real Estate %	6.5
Consumer Defensive %	8.5
Healthcare %	11.4
Utilities %	4.6
Communication Services %	7.6
Energy %	3.9
Industrials %	10.9
Technology %	15.2

**Fixed Income Sector Allocation**

Portfolio Date: 9/30/2021



Average Effective Duration 4.60

**Disclosures**

- Information contained here is as of the date shown and is subject to change at the adviser's discretion. Portfolio holdings are subject to change and should not be considered investment advice.
- The Fund is a "Fund of Funds" meaning the Fund will invest in other funds, each an "Underlying Fund"
- Underlying Funds are subject to investment advisory and other expenses, which will be indirectly paid by investors when the Fund purchases the Underlying Fund. These expenses are in addition to the expenses of the Fund.
- Portfolio Holdings represent the securities, funds and other investment of the Fund as of the date noted and may not be representative of the fund's current or future investments. Portfolio holdings are subject to change and should not be considered to be investment advice.
- **Investors should carefully consider the investment objectives, risks, charges and expenses of the Fund. This and other important information about the Fund is contained in the Prospectus, which can be obtained by contacting your financial adviser, or by calling (866) 583-8734. The Prospectus should be read carefully before investing. The Fund is distributed by Northern Lights Distributors, LLC member FINRA/SIPC. Pacific Financial Group and Northern Lights Distributors are not affiliated.**

**Important Risk Information**

- **Investment Risk** - All investments involve risks, including possible loss of principal.
- **Average effective duration** provides a measure of a fund's interest-rate sensitivity. The longer a fund's duration, the more sensitive the fund is to shifts in interest rates. Duration also gives an indication of how a fund's net asset value (NAV) will change as interest rates change.
- **Price Volatility** - The price paid for a security can fall rapidly in response to developments affecting a specific company or industry, or to changing economic, political or market conditions which will impact the value of the Fund.
- **Interest Rate Risk** - A rise in interest rates will result in a decline in the value of the fixed income investments held by the Fund.
- **Foreign or Emerging Market Risk** - Investing in foreign securities involves risks of adverse fluctuations in foreign currency values, adverse political, social and economic developments, less liquidity, potentially greater volatility, less developed or less efficient trading markets.
- **Junk Bonds** - The Fund or the Underlying Funds may invest in lower-quality bonds, known as "high yield" or "junk" bonds. These bonds present greater risk, including an increased risk of default, than bonds of higher quality as rated by Moody's Investors Service, S&P Global Ratings, or other credit rating agency.
- **Liquid Alternative Securities** are defined as exhibiting low to modest correlation with traditional stock and bond investments. Risks within these types of investment include: rising correlations during periods of high volatility, which would limit their ability to dampen volatility; use of leverage within certain strategies may magnify gains or losses.
- **Small Cap Risk** - The Fund or Underlying Funds may invest in individual securities to include the stocks of small capitalization companies ("Small Caps"). Small Caps are stocks with low market capitalization and may be subject to more price volatility.

**Tolerance/Tolerance Range:** Tolerance and Tolerance Range are determined by RiskPro®, a risk profiling and portfolio construction software program developed by ProTools, Inc., an affiliate of TPFG. RiskPro quantifies historical risk characteristics to estimate the forward looking, maximum annual range (gain or loss) of total returns of a portfolio over a 12 month period (the "Tolerance Range"). Tolerance as of the most recent quarter-end is calculated using Riskpro® analytics and reflects the maximum annual gain or loss of total returns of a portfolio of securities as of that date. **IMPORTANT:** The projections or other information generated by RiskPro® regarding the likelihood of various outcomes are hypothetical in nature, do not reflect actual investment results are not a guarantee of future results. RiskPro® does not consider the fees and expenses of the underlying Funds in the Model Portfolio or the potential impact of extreme market conditions. There is no guarantee that the maximum range as estimated by RiskPro® will not be exceeded.

**Morningstar Style Box:** a nine-square grid that provides a graphical representation of the "investment style" of funds. For equity funds, it classifies securities according to market capitalization (the vertical axis) and growth and value factors (the horizontal axis). Fixed income funds are classified according to credit quality (the vertical axis) and sensitivity to changes in interest rates (the horizontal axis). Additional information about the style box can be found at [http://www.morningstar.com/InvGlossary/morningstar\\_style\\_box.aspx](http://www.morningstar.com/InvGlossary/morningstar_style_box.aspx)

Source: Morningstar Direct. Minimum Investment \$1000. Subsequent investments \$250.

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