

**Freedom Advisors, LLC**  
**PRIVACY POLICY & PRACTICES NOTICE**

Freedom Wealth Advisors, LLC and its affiliates, officers, principals, managers, and owners (FWA) are committed to protecting the privacy of our customers and former customers. In order to issue and service high quality financial products and services, we collect personal information about you. We do NOT sell the information you have entrusted to us to third parties, and we disclose your personal information only as necessary to provide the products and services you expect from a financial services advisor. To help you understand how we protect your personal information, this notice describes our current privacy policy and practices. During the continuation of your relationship with FWA, we will advise you of our privacy policy at least once annually, as required by law.

You do not need to take any action as a result of this notice, but you do have certain rights as described below.

**INFORMATION WE MAY COLLECT AND USE**

We collect information about you, which we may share now or in the future, only with service providers. We do this to help us identify you as our customer or our former customer, to process your transactions and requests quickly, to provide investment services to you or tell you about products or services we believe you may want to use.

Information we collect includes:

- Information from you: When submitting your application, new account form, including custodian and brokerage account forms, you may give us information such as your name, Social Security or Tax Payer Identification number, financial, health and employment history.
- Information about your transactions: we may keep information about your transactions with our company, for example, the products you purchase through our services, amount you paid for the product, your account balances, or payment history.
- Information from outside our company: we may also collect, with your permission where required, other information that may include information from consumer reporting agencies such as your credit history, credit scores and/or employment records. It may also include information from other individuals or businesses, such as demographic information.

We do not collect medical or health information in connection with securities business, except in relation to an application for an annuity or insurance product\*, or as provided by you or an external company you authorize us to work with.

\* Freedom Wealth Advisors, LLC is not licensed to sell insurance products, and does not sell insurance products, but certain products like annuities that provide insurance coverage may require specific insurance related information from you, which we may collect and provide to product provider, as approved by you. NOTE: Your financial advisor may be independently licensed as an insurance agent, and he/she will abide by the privacy policy of both FWA as well as the appropriate insurance firm that he/she represents. © 2017 *Freedom Wealth Advisors, LLC*

## HOW WE TREAT YOUR INFORMATION

FWA may share the information described above with our service providers. These providers may require access to information about you to process or service transactions you have requested, to provide efficient customer services, or to inform you of our products or services you may find useful. Our service provider may be affiliated or unaffiliated, and may include financial services providers (for example, custodians, third party administrators, insurance brokerage, re-insurers, other financial institutions with whom we have joint agreements) or non-financial companies and individuals (for example, consultants, vendors). Please be assured that we require these service providers to safeguard your personal information and to use or disclose it only for the work they are performing on our behalf, or as permitted by law.

We may provide information to regulatory authorities and law enforcement officials in accordance with applicable law or to others when we believe in good faith that the law requires it. In the event of a sale of all or part of our business, we may share customer information related to that business as part of the transaction<sup>†</sup>. FWA does not sell or share your information with marketers outside our company who may want to offer you their own products and services. Neither do we share information we receive about you from a consumer-reporting agency. You do not need to take any action for the benefit.

## SECURITY OF INFORMATION

Keeping your information secure is one of our most important responsibilities. We maintain physical, electronic and procedural safeguards to protect your information. Employees are authorized to access your information only when they need it to provide you with products and services or to maintain your accounts.

## MAKING SURE INFORMATION IS ACCURATE

We want to make sure that we have accurate information about you. Generally, upon written request from you, we will make your personal information available for your review. Information we have collected in connection with or in anticipation of a claim or legal proceeding by you will not be made available to you, unless so requested by a court order or similar document. If you believe that any of our records are inaccurate, you may notify us in writing of any corrections, amendments, or deletions that you believe should be made. Questions about accessing our correcting your personal information or “opting out” should be directed to your Financial Advisor or ATTN: Chief Compliance Officer, Freedom Wealth Advisors, LLC, PO Box 6914, Portland, ME 04103. Please describe the information you wish to see or corrections required.

<sup>†</sup> Sharing of this information is subject to consent requirement of the Investment Advisor Service Agreement