

ASSET MANAGEMENT GROUP, INC. FORM ADV PART 3

Item 1 – Introduction

Asset Management Group, Inc. (“we”, “us” or “our”) is registered with the U.S. Securities Exchange Commission (“SEC”) as an investment adviser. Investment advisory services and compensation structures differ from that of a registered broker-dealer, and it is important that you understand the differences.

Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS. The site also provides educational materials about broker-dealers, investment advisers and investing.

Item 2 – Relationships and Services

What investment services and advice can you provide me?

We provide investment advisory services, including discretionary investment management and financial planning services to individuals, trusts and estates (our “retail investors”).

When a retail investor engages us to provide investment management services we shall monitor, on a continuous basis, the investments in the accounts over which we have authority as part of our investment management service. Furthermore, when engaged on a discretionary basis, we shall have the authority, without prior consultation with you (unless you impose restrictions on our discretionary authority), to buy, sell, trade and allocate the investments within your account(s) consistent with your investment objectives.

We offer financial planning services on a separate fee basis.

Additional Information: For more detailed information about our *Advisory Business* and the *Types of Clients* we generally service, please see Items 4 and 7, respectively in our ADV Part 2A (link available at the bottom of each page).

Conversation Starter: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3 – Fees, Costs, Conflicts, and Standard of Conduct

What Fees will I pay?

New clients will typically incur an initial set-up fee, which shall be determined prior to our engagement. **The initial set up fee ranges from \$75 to \$8000, based on the nature and complexity of the client’s circumstances.**

We provide our investment advisory services on a fee basis. When engaged to provide investment management services, we shall charge a fee calculated as a percentage of your assets under our management (our “AUM Fee”). Our annual AUM Fee is negotiable and but will not exceed 1.00%. **Investment advisory clients are subject to a minimum annual fee not to exceed \$2,819.**

Because our AUM Fee is calculated as a percentage of your assets under management, the more assets you have in your advisory account, the more you will pay us for our investment management services. Therefore, we have an incentive to encourage you to increase the assets maintained in accounts we manage.

We offer our Comprehensive and Modular Plans: Plans are provided on an hourly basis determined by categories of personnel used, ranging from Administrative Staff @\$80 per hour to Senior Financial Planner @\$800 per hour. Our annual Financial Planning fees typically range from \$2,500 to \$10,000 per year.

For more detailed information on fees, please see Form ADV Part 2A Item 5.

Other Fees and Costs: Your investment assets will be held with a broker-dealer that is a qualified custodian. Custodians generally charge brokerage commissions and/or transaction fees for effecting certain securities transactions. In addition, relative to all mutual fund and exchange traded fund purchases, certain charges will be

A copy of our Part 2A is available at: <https://adviserinfo.sec.gov/>

imposed at the fund level (e.g. management fees and other fund expenses).

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Additional Information: For more detailed information about our fees and costs related to our management of your account, please See Item 5 in our ADV Part 2A.

Conversation Starter: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. As an example, we may recommend a particular custodian to custody your assets, and we may receive support services and/or products from that same custodian, certain of which assist us to better monitor and service your account while a portion may be for the benefit of our firm.

Conversation Starter: How might your conflicts of interest affect me, and how will you address them?

Additional Information: For more detailed information about our conflicts of interest, please review our ADV Part 2A.

How do your financial professionals make money?

Our financial professionals are compensated, in part, based upon the value of the client accounts for which they are responsible. Based upon this relationship, your financial professional has an incentive to recommend or select investments which may yield higher returns for your account. These types of investments may likely be subject to higher investment/market risks. In their separate capacities as representatives of a broker-dealer, insurance agents or brokers. And/or representatives of an unaffiliated investment adviser, our financial professions may sell you financial products or services for separate and typical compensation. You should discuss your financial professional's compensation directly with your financial professional.

For more detailed information on the activities of our related persons, please see Form ADV Part 2A Item 10.

Item 4 – Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. We encourage you to visit www.Investor.gov/CRS to research our firm and our financial professionals.

Furthermore, we encourage you to as your financial professional:

Conversation Starter: As a financial professional, do you have any disciplinary history? If so, for what type of conduct?

Item 5 – Additional Information

Additional information about our firm is available on the SEC's website at www.adviserinfo.sec.gov. You may contact our Chief Compliance Officer at any time to request a current copy of your ADV Part 2A or our *relationship summary*.

Our Chief Compliance Officer may be reached by phone: (203) 964-8300.

Conversation Starter: Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

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