

AVENTURA SECURITIES, LLC - Held NMS Stocks  
and Options Order Routing Public Report  
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4th Quarter, 2023

October 2023

S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
55	100.00	37	67.27	6	10.91	10	18.18	2	3.64

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDNG)		16	28.07	11	29.73	3	50.00	0	0.00	2	100.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)		11	19.30	9	24.32	1	16.67	1	8.33	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		9	15.79	9	24.32	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VIRTU America, LLC(NITE)		7	12.28	5	13.51	2	33.33	0	0.00	0	0.00	0	0	0	0	0	0	0
Oboe EDGX US Equities Exchange(EDGX)		6	10.53	0	0.00	0	0.00	6	50.00	0	0.00	0	0	0	0	0	0	0
Members Exchange(MEMX)		2	3.51	0	0.00	0	0.00	2	16.67	0	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)		2	3.51	0	0.00	0	0.00	2	16.67	0	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)		2	3.51	2	5.41	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)		1	1.75	0	0.00	0	0.00	1	8.33	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)		1	1.75	1	2.70	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

October 2023

Non-S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
17	100.00	17	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
VIRTU America, LLC(NITE)		8	42.11	8	47.06	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)		4	21.05	4	23.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Citadel Securities LLC(CDNG)		3	15.79	3	17.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BUX)		2	10.53	0	0.00	0	0.00	0	0.00	2	100.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		1	5.26	1	5.88	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)		1	5.26	1	5.88	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

October 2023

Option Contracts  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
97	100.00	34	35.05	0	0.00	63	64.95	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)		44	45.36	16	47.06	0	0.00	28	44.44	0	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	19	19.59		0	0.00	0	0.00	19	30.16	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	18	18.56	17	50.00	0	0.00	1	1.59	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	14	14.43	1	2.94	0	0.00	13	20.63	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	2.06	0	0.00	0	0.00	2	3.17	0	0.00	0	0	0	0	0	0	0	0

November 2023

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
96	100.00	61	63.54	13	13.54	22	22.92	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	25	24.04	22	36.07	2		15.38	1	4.17	0	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	24	23.08	13	21.31	9		69.23	2	8.33	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	16	15.38	14	22.95	1		7.69	1	4.17	0	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	12	11.54	0	0.00	0		0.00	12	50.00	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	9	8.65	8	13.11	1		7.69	0	0.00	0	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BLUX)	6	5.77	0	0.00	0		0.00	0	0.00	6	100.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	6	5.77	0	0.00	0		0.00	6	25.00	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3	2.88	3	4.92	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Members Exchange(MEMX)	2	1.92	0	0.00	0		0.00	2	8.33	0	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1	0.96	1	1.64	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

November 2023

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
22	100.00	13	59.09	2	9.09	7	31.82	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	7	26.92	5	38.46	2		100.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	5	19.23	0	0.00	0		0.00	5	71.43	0	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BLUX)	4	15.38	0	0.00	0		0.00	0	0.00	4	100.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	4	15.38	4	30.77	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	3	11.54	3	23.08	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

Members																			
Exchange(MEMX)	2	7.69	0	0.00	0		0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	1	3.85	1	7.69	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

November 2023

Option Contracts  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
82	100.00	27	32.93	1	1.22			1	1.22

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDRG)		48	58.54	14	51.85	1	50.00	33	63.46	0	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)		13	15.85	4	14.81	1	50.00	8	15.38	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)		11	13.41	8	29.63	0	0.00	2	3.85	1	100.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)		7	8.54	0	0.00	0	0.00	7	13.46	0	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)		3	3.66	1	3.70	0	0.00	2	3.85	0	0.00	0	0	0	0	0	0	0

December 2023

S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
24	100.00	19	79.17	3	12.50			2	8.33

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDRG)		7	28.00	7	36.84	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)		6	24.00	5	26.32	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		4	16.00	3	15.79	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)		4	16.00	4	21.05	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BLIX)		2	8.00	0	0.00	0	0.00	0	0.00	2	100.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)		2	8.00	0	0.00	0	0.00	2	100.00	0	0.00	0	0	0	0	0	0	0

December 2023

Non-S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
17	100.00	10	58.82	1	5.88			6	35.29

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDRG)		4	19.05	4	40.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BLIX)		3	14.29	0	0.00	0	0.00	0	0.00	3	100.00	0	0	0	0	0	0	0

Cboe EDGX US Equities Exchange(EDGX)	3	14.29	0	0.00	0	0.00	3	42.86	0	0.00	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3	14.29	3	30.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	2	9.52	1	10.00	1	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	2	9.52	0	0.00	0	0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2	9.52	0	0.00	0	0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1	4.76	1	10.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1	4.76	1	10.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0

December 2023

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
59	100.00	17	28.81	1	1.69	41	69.49	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDRG)	24	40.68	8	47.06	1		100.00	15	36.59	0	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	15	25.42	3	17.65	0		0.00	12	29.27	0	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	13	22.03	0	0.00	0		0.00	13	31.71	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSO)	5	8.47	5	29.41	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	3.39	1	5.88	0		0.00	1	2.44	0	0.00	0	0	0	0	0	0	0