



OWEN LARUE

FINANCIAL GROUP

109 W. Poplar Street
Elizabethtown, KY 42701
Main Phone 270-769-9995

James H. Owen, II

Part 2B Supplemental Brochure

January 2021

The Brochure Supplement provides information about James Owen (CRD# 5454356) that supplements the Owen LaRue Financial Group Brochure. You should have received a copy of the Brochure. Please contact the Chief Compliance Officer, Robert LaRue at 270-769-9995 if you did not receive Owen LaRue Financial Group's Brochure or if you have any questions about the contents of this supplement.

Additional information about the Investment Adviser Representatives is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as the CRD number listed above.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James H. Owen, II

Born: 1975

Post-Secondary Education:

- Western Kentucky University, Bachelor of Arts, Advertising, 2005
- Successfully completed the General Securities Representative Examination (Series 7), General Securities Principal Examination (Series 24) and Uniform Combined State Law Examination (Series 66).
- Chartered Retirement Planning Counselor¹ (CRPC®)

Recent Business Experience:

- Owen LaRue Financial Group, Investment Advisor Representative, 2014 to Present
- Private Advisor Group, Investment Advisor Representative, 2014 – 2017
- LPL Financial, Registered Representative, 2014 – 2017
- First Citizens Bank, Vice-President, Brokerage Services, 2010 – 2014
- Raymond James Financial Services, Financial Advisor, 2010 - 2014
- Merrill Lynch, Registered Representative, 2007- 2010

¹The College of Financial Planning® confers the CRPC® designation on applicants who complete the CRPC® professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two (2) years, the designee must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

ITEM 3 - DISCIPLINARY INFORMATION

James Owen has no history of any legal or disciplinary events that deems to be material to a client's consideration of James Owen to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of James Owen that is not included in this brochure supplement. (FINRA's BrokerCheck®)

ITEM 4 - OTHER BUSINESS ACTIVITIES

James Owen is a licensed insurance agent. It is anticipated that a small portion, less than five percent (5%) of his time, will be spent providing these insurance products. In such capacity, he offers fixed and variable life insurance products and receives normal and customary commissions as a result of any purchases made by clients. The client is under no obligation to purchase fixed or variable life insurance through James Owen on a commissionable basis. In addition, James Owen receives other compensation such as fixed or variable life trails. The potential for receipt of

commissions and other compensation gives him incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation (e.g., commissions, trails) to be paid by the client and/or received by the insurance agent.

James Owen is a member and owner of Member Medical, a direct primary care medical office. This is a non-investment related activity. Currently, the time spent on the activity does not exceed 15% and is not deemed a conflict of interest.

James Owen serves as committee chair for the Hardin County Chamber of Commerce. He receives no compensation for his involvement and spends less than 4 hours per month in his role.

ITEM 5 - ADDITIONAL COMPENSATION

James Owen does not receive any economic benefit for providing advisory services beyond the scope of Owen LaRue Financial Group.

ITEM 6 - SUPERVISION

James Owen is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Robert LaRue, who is responsible for administering the policies and procedures. Robert LaRue reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Robert LaRue's may be contacted at 270-769-9995.