



**Derek N. Eichenwald**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Derek Eichenwald that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Derek Eichenwald is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 4613771.

Triumph Capital Management Headquarters  
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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### DEREK N EICHENWALD

- Born: 1980

#### POST – SECONDARY EDUCATION:

- Colorado State University – Bachelor’s Degree: Business – 2001
- Successfully complete the General Securities Principal (Series 24), General Securities Representative (Series 7), and Uniform Combined State Law Examination (Series 66), Securities Industry Essential Examination (SIE)
- Insurance Licenses: Life, Health, Accident, Disability, Variable, Long Term Care
- University of Pennsylvania, Wharton School of Business – 2006. Retirement Planning Specialist certificate from the Wharton School at the University of Pennsylvania, in the Wharton Retirement Planning Program

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, CEO and Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2009 – 2015	J.P. Turner & Company, LLC, Registered Representative
2009 – 2015	JPTCM, Investment Advisor Representative
2007 – 2009	Raymond James Financial Services, Registered Representative
2003 – 2008	AXA Advisors, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Eichenwald has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Eichenwald to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Eichenwald that is not included

in this brochure supplement. Mr. Eichenwald's CRD # is 4613771.  
(<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Eichenwald holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Eichenwald is the sole owner of Triumph Capital, LLC a Colorado based Limited Liability Company and resident producer for accident, life and health insurance, License number 619821. Mr. Eichenwald spends less than 10% of his time providing insurance products. Commissions and agency overwrite may be earned by Mr. Eichenwald if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Eichenwald is a 15% owner in a firm that manufactures pallets that fit on dolly's, Dolly Pal. Dolly Pal's headquarters is located at 7253 S Chase Way, Littleton, CO 80123. Mr. Eichenwald does not currently receive compensation from Dolly Pal and spends zero hours per month on this activity.

Mr. Eichenwald is the sole owner of 723 Logan Street, LLC. 723 Logan Street, LLC is located at 723 Logan Street, Denver, CO 80203. This LLC is set up solely for the purpose of owning real estate. Mr. Eichenwald currently does not receive compensation from this activity and spends zero hours per month with this business.

Mr. Eichenwald is a participant in Business Development at 1610 Wynkoop Street, Suite 550, Denver, CO 80202, where he is a referral source to One Point HRO for human resource and payroll services. This is not investment related and he spends less than 1 hour per month on this activity.

Mr. Eichenwald is the owner of Triumph Capital P.C., which is an insurance agency, where he sells property and casualty insurance. He spends approximately 2 hours a week working for Triumph Capital P.C. This is not investment related.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Eichenwald may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Eichenwald is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION

Mr. Eichenwald is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Mr. Brandon Drespling and Mr. Derek Eichenwald are both principals of TCM. Mr. Drespling is supervised by Mr. Eichenwald and Mr. Eichenwald is supervised by Mr. Drespling. Mr. Drespling and Mr. Eichenwald manage client assets as co-managers. This can be deemed a potential conflict of interest. If you have any questions regarding the supervision of Mr. Drespling or Mr. Eichenwald please contact Mr. Drespling and Mr. Eichenwald prior to engaging in advisory services with TCM.

Brandon Drespling  
Chief Compliance Officer  
(720) 399-5555  
[BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com)



**Brandon Drespling**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
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The Brochure Supplement provides information about Brandon Drespling that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Brandon Drespling is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6034259.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### BRANDON DRESPLING

- Born: 1984

### POST – SECONDARY EDUCATION:

- Westminster College – Bachelor of Business Administration – 2008
- Successfully completed the General Securities Principal (Series 24), General Securities Representative (Series 7), and Uniform Combined State Law Examination (Series 66)

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2015 – 2019	Summit Financial Group, Investment Advisor Representative
2015 – 2019	Cetera Investment Advisors, LLC, Investment Advisor Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2013 – 2015	J.P. Turner & Company Capital Management, LLC, Investment Advisor Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Drespling has no history of any legal or disciplinary events that deem to be material to a client's consideration of Mr. Drespling to act as their investment advisor representative. FINRA's BrokerCheck may have additional information regarding the disciplinary history of Mr. Drespling that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Drespling is the Chief Compliance Officer at Triumph Capital Management, located at 1610 Wynkoop Street, Suite 550, Denver, CO 80202. Mr Drespling spends approximately 160 hours per month on this activity.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Drespling may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Drespling is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION

Mr. Drespling is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by Triumph Capital Management's CEO, Derek Eichenwald. Mr. Eichenwald reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Mr. Brandon Drespling and Mr. Derek Eichenwald are both principals of TCM. Mr. Drespling is supervised by Mr. Eichenwald and Mr. Eichenwald is supervised by Mr. Drespling. Mr. Drespling and Mr. Eichenwald manage client assets as co-managers. This can be deemed a potential conflict of interest. If you have any questions regarding the supervision of Mr. Drespling or Mr. Eichenwald please contact Mr. Drespling and Mr. Eichenwald prior to engaging in advisory services with TCM.

Derek Eichenwald  
CEO  
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Timothy Waters  
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The Brochure Supplement provides information about Timothy Waters that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Timothy Waters is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 3083977.

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## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### TIMOTHY WATERS

- Born: 1976

#### POST – SECONDARY EDUCATION:

- Ohio State University – Bachelor’s Degree: Personal Financial Planning – 1999
- Successfully complete the General Securities Representative (Series 7), Uniform Securities Agent State Law Examination (Series 63), and Uniform Investment Adviser Law Examination (Series 65)
- Insurance Licenses: Life, Health, Variable, Long Term Care
- Certified Wealth Strategist – CWS

\*The Certified Wealth Strategist designation is obtained by completing two instructor-led training sessions, self-directed study on numerous wealth management issues, and a capstone project. Three years of experience in the financial services industry that must also include direct interaction with clients and a 4-year degree are also required.

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	JPTCM, Investment Advisor Representative
2009 – 2012	Raymond James Financial Services, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Waters has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Waters to act as their investment adviser representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Waters that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Waters holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Waters spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Waters if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Waters is the owner of Waters Financial Group, LLC. Waters Financial Group is an LLC created for tax planning and bill paying purposes. There are no investments products offered to clients through this entity.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Waters may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Waters is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Waters is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

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Thomas Williams  
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Brochure Supplement

March 1, 2021  
Form ADV, 2B

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Additional information about Thomas Williams is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6005200.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### THOMAS WILLIAMS

- Born: 1986

#### POST – SECONDARY EDUCATION:

- Michigan State University – Bachelor of Arts, Major in Accounting – 2009
- Successfully completed the General Securities Representative (Series 7), and Uniform Combined State Law Examination (Series 66)

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2020 – Present	Triumph Capital Management, Investment Advisor Representative
2012 – Present	Advisant Financial, Managing Partner – Tax Preparation
2016 – 2018	Ameriprise Financial Services, Inc.
2014 – 2017	Hochfelder & Weber, P.C.
2014 – 2014	The Dolins Group, Ltd.
2011 – 2014	BMO Capital Markets Corp.
2011 – 2011	US Foods
2010 – 2010	American Axle

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Williams has one criminal disclosure event that was dismissed in 2006. This disclosure event is not investment related and the details can be found by viewing Mr. Williams detailed BrokerCheck Report: (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Williams is the Managing Partner of Advisant Financial, LLC. Advisant Financial, LLC specializes in tax preparation services. Advisant Financial, LLC is located at 1859 28<sup>th</sup> St #601, Denver, CO 80216. Mr Williams spends 20-30 hours per month on this outside business activity, 10-20 of those hours are during trading hours.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Williams may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of

investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Williams is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION

Mr. Williams is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
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**Stephen Murphy**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
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The Brochure Supplement provides information about Stephen Murphy that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Stephen Murphy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 4140449.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### STEPHEN MURPHY

- Born: 1961

#### POST – SECONDARY EDUCATION:

- United States Naval Academy: 1983
- Successfully completed the General Securities Representative (Series 7), Uniform Securities Agent State Law Examination (Series 63), Uniform Investment Adviser Law Examination (Series 65), National Commodities Future Examination (Series 3), and the General Securities Sales Supervisor Examinations (Series 9 and 10).

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2017 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2019	Summit Brokerage Services, Inc., Registered Representative
2008 – 2017	Campbell Financial Services, LLC Wholesaler

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Murphy has no history of any legal or disciplinary events that deem to be material to a client's consideration of Mr. Murphy to act as their investment advisor representative. FINRA's BrokerCheck may have additional information regarding the disciplinary history of Mr. Murphy that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Murphy holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Murphy spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Murphy if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Murphy may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events,

conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Murphy is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Murphy is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
Chief Compliance Officer  
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Shea Winkeller  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Shea Winkeller that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Shea Winkeller is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5111360.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### SHEA WINKELLER

- Born: 1979

### POST – SECONDARY EDUCATION:

- Park University – Bachelor’s Degree: Management/Computer Information System – 2006
- Successfully complete the General Securities Representative (Series 7) and Uniform Combined State Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable, Long Term Care
- Chartered Financial Consultant – ChFC\*

\*The ChFC program is administered by the American College, Bryn Mawr, Pennsylvania. This designation has the same core curriculum as the CFP designation, plus two or three additional elective courses that focus on various areas of personal financial planning. In addition to successful completion of an exam on areas of financial planning, including income tax, insurance, investment and estate planning, candidates are required to have a minimum of three years of experience in the financial industry.

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2010 – 2015	J.P. Turner & Company, LLC, Registered Representative
2010 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Winkeller has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Winkeller to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Winkeller that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Winkeller holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Winkeller spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Winkeller if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Winkeller is a member of the Department of Veterans Affairs, located at 155 Van Gordon Street, Lakewood, CO 80228. His membership activity is not investment related and Mr. Winkeller spends 1-2 hours per month on this activity.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Winkeller may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Winkeller is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Winkeller is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
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**Ryan Cook**  
Form ADV, 2B  
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March 1, 2021  
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The Brochure Supplement provides information about Ryan Cook that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Ryan Cook is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5205183.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### RYAN COOK

- Born: 1982

#### POST – SECONDARY EDUCATION:

- Colorado Christian University – Bachelor of Business Management and Marketing – 2006
- Successfully complete the Investment Company Products/Variable Contracts (Series 6), General Securities Representative (Series 7), and Uniform Investment Advisor Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable, Long Term Care

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative
2007 – 2012	AXA Advisors, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Cook has no history of any legal or disciplinary events that deem to be material to a client's consideration of Mr. Cook to act as their investment advisor representative. FINRA's BrokerCheck may have additional information regarding the disciplinary history of Mr. Cook that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Cook holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Cook spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Cook if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Cook is the owner of Ryan M. Cook, LLC. Ryan M. Cook is an LLC created for tax planning and bill paying purposes. There are no investments products offered to clients through this entity.

Mr. Cook is the owner of P&C Enterprise, LLC. P&C Enterprise, LLC is a property & casualty insurance franchise where Mr. Cook spends approximately 2 hours per month working on payroll.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Cook may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Cook is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Cook is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
Chief Compliance Officer  
(720) 399-5555  
[BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com)



**Meghan Nalty**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Meghan Nalty that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Meghan Nalty is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6949151.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### MEGHAN NALTY

- Born: 1994

#### POST – SECONDARY EDUCATION:

- Colorado State University – Bachelor of Arts: English with Minor in Finance and Real Estate
- Successfully completed the Uniform Investment Adviser Law Exam (Series 65).

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2018 – Present	Triumph Capital Management, Executive Assistant to Derek Eichenwald
2013-2017	Colorado State University: Undergraduate Student

## ITEM 3 - DISCIPLINARY INFORMATION

Mrs. Nalty has no history of any legal or disciplinary events that deem to be material to a client's consideration of Ms. Nalty to act as their investment advisor representative. FINRA's BrokerCheck may have additional information regarding the disciplinary history of Mrs. Nalty that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mrs. Nalty does not have any other or outside business activity.

## ITEM 5 - ADDITIONAL COMPENSATION

Mrs. Nalty may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars, and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mrs. Nalty is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION



Mrs. Nalty is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by Triumph Capital Management's CCO, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
Chief Compliance Officer  
(720) 399-5555  
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**James Peters**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about James Peters that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about James Peters is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5113934.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### JAMES PETERS

- Born: 1983

#### POST – SECONDARY EDUCATION:

- Ohio University – Bachelor’s Degree: Finance – 2006
- Successfully completed the General Securities Representative (Series 7) and Uniform Combined State Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable, Long Term Care
- Certified Wealth Strategist – CWS

\*The Certified Wealth Strategist designation is obtained by completing two instructor-led training sessions, self-directed study on numerous wealth management issues, and a capstone project. Three years of experience in the financial services industry that must also include direct interaction with clients and a 4-year degree are also required.

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Adviser Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Adviser Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Adviser Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	J.P. Turner Capital Management, LLC, Investment Adviser Representative
2007 – 2012	AXA Advisors, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Peters has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Peters to act as their investment adviser representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Peters that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Peters holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Peters spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Peters if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Peters is the owner of Jim B. Peters, LLC. Jim B. Peters is an LLC created for tax planning and bill paying purposes. There are no investments products offered to clients through this entity.

Mr. Peters is the owner of P&C Enterprise, LLC. P&C Enterprise, LLC is a property & casualty insurance franchise where Mr. Peters spends approximately 2 hours per month working on payroll.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Peters may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Peters is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Peters is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
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**Gregory Schowe**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Gregory Schowe that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Gregory Schowe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5472166.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### GREGORY SCHOWE

- Born: 1978

### POST – SECONDARY EDUCATION:

- University of Oregon – Bachelor’s Degree: Business Administration – 2000
- Successfully complete the General Securities Representative (Series 7), Uniform Securities Agent State Law Examination (Series 63), and Uniform Investment Advisor Law Examination (Series 65)
- Insurance Licenses: Life, Health, Variable
- Certified Wealth Strategist – CWS

\*The Certified Wealth Strategist designation is obtained by completing two instructor-led training sessions, self-directed study on numerous wealth management issues, and a capstone project. Three years of experience in the financial services industry that must also include direct interaction with clients and a 4-year degree are also required.

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2015 – 2019	Summit Financial Group, Investment Advisor Representative
2015 – 2019	Cetera Investment Advisors, LLC, Investment Advisor Representative
2014 – 2015	J.P. Turner & Company, LLC, Registered Representative
2014 – 2015	JPTCM, Investment Advisor Representative
2008 – 2014	Lotus Group Advisors, Investment Advisor Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Schowe has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Schowe to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Schowe that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Schowe holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Schowe spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Schowe if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

Mr. Schowe is a limited partner at 2100 Downing, LLC, where he is a passive investor in a commercial real estate building. He spends 0 hours on this activity per month.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Schowe may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Schowe is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Schowe is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

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**Edward Hable**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Edward Hable that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Edward Hable is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6287339.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)



## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### EDWARD HABLE

- Born: 1989

### POST – SECONDARY EDUCATION:

- University of Colorado Denver – Bachelor’s Degree: Business Administration
- Successfully completed the Uniform Securities Agent State Law Examination (Series 63), and the Uniform Investment Advisor Law Examination (Series 65)

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2019 – Present	Triumph Capital Management, Investment Advisor Representative
2014 – 2018	Triumph Capital Management, Executive Assistant/Operations
2010 – 2015	University of Colorado Denver, Student

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Hable has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Hable to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Hable that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Hable works for Triumph Capital P.C., which is an insurance agency, where he sells property and casualty insurance. He spends approximately 20 hours a week working for Triumph Capital P.C. This is not investment related.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Hable may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients’ financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability

standards, Mr. Hable is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Hable is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

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**Daniel Mayer**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Daniel Mayer that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Daniel Mayer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6373593.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### DANIEL MAYER

- Born: 1982

### POST – SECONDARY EDUCATION:

- University of Colorado – Bachelor’s Degree: Film Production – 2005
- University of Kent – Master’s Degree: International Economic Development – 2011
- Successfully completed the General Securities Representative (Series 7) and Uniform Combined State Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2014 – 2015	J.P. Turner & Company, LLC, Registered Representative
2014 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative
2011 – 2013	Spectra Autism Center, Development & Community Partnerships

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Mayer has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Mayer to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Mayer that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Mayer holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Mayer spends less than 10% of

his time providing insurance products. Commissions may be earned by Mr. Mayer if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Mayer may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Mayer is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Mayer is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

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**Cory Robinson**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Cory Robinson that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Cory Robinson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5278036.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### CORY ROBINSON

- Born: 1984

### POST – SECONDARY EDUCATION:

- University of Wisconsin, Whitewater – Bachelor’s Degree: Finance, emphasis in Financial Planning – 2006
- Successfully complete the General Securities Representative (Series 7) and Uniform Combined State Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative
2010 – 2012	AXA Advisors, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Robinson has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Robinson to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Robinson that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Robinson holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Robinson spends less than 10% of

his time providing insurance products. Commissions may be earned by Mr. Robinson if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Robinson may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Robinson is required to make recommendations appropriate to each client's individual needs and objectives.

#### ITEM 6 - SUPERVISION

Mr. Robinson is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

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**Brian Stark**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Brian Stark that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Brian Stark is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 4605676.

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Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### BRIAN STARK

- Born: 1977

### POST – SECONDARY EDUCATION:

- Colorado State University – Bachelor’s Degree: Business Administration – 2015
- Successfully complete the General Securities Representative (Series 7) and Uniform Combined State Law Examination (Series 66)
- Insurance Licenses: Life, Health, Variable, Long Term Care

### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2019 – 2020	Cetera Advisor Networks, Registered Representative
2015 – 2020	Summit Financial Group, Investment Advisor Representative
2015 – 2020	Cetera Investment Advisors, LLC, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative
2003 – 2012	AXA Advisors, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Stark has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Stark to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Stark that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Stark holds an insurance license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Stark spends less than 10% of his time providing insurance products. Commissions may be earned by Mr. Stark if insurance products are purchased through insurance companies. This may or may not create a conflict of interest.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Stark may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Stark is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION

Mr. Stark is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brandon Drespling, who is responsible for administering the policies and procedures. Mr. Drespling reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Brandon Drespling  
Chief Compliance Officer  
(720) 399-5555  
[BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com)



**Andrew Hoffmeyer**  
Form ADV, 2B  
Brochure Supplement

March 1, 2021  
Form ADV, 2B

The Brochure Supplement provides information about Andrew Hoffmeyer that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Andrew Hoffmeyer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 5099694.

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Phone: 970-821-8500

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1610 Wynkoop Street, Suite 550, Denver, CO 80202  
Phone: 720-399-5555 | Fax: 720-399-5560  
Email: [Info@TriumphCapitalManagement.com](mailto:Info@TriumphCapitalManagement.com)  
Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### ANDREW HOFFMEYER

- Born: 1983

#### POST – SECONDARY EDUCATION:

- Colorado State University – Bachelor’s Degree: Finance – 2005
- Successfully completed the Investment Company Products/Variable Contracts Representative (Series 6), Uniform Securities Agent State Law Examination (Series 63), Uniform Investment Advisor Law Examination (Series 65)
- Insurance Licenses: Life, Health, Long Term Care
- Chartered Retirement Planning Counselor – CRPC

\*The CRPC professional designation is obtained by completing an online instructor led or self-study course and final exam. There are no prerequisites. The designation requires 16 hours of continuing education every 2 years.

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Advisor Representative
2015 – 2019	Summit Brokerage Services, Inc., Registered Representative
2015 – 2019	Summit Financial Group, Investment Advisor Representative
2015 – 2019	Cetera Investment Advisors, LLC, Investment Advisor Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2012 – 2015	J.P. Turner Capital Management, LLC, Investment Advisor Representative
2010 – 2012	BrokersXpress, LLC, Registered Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Hoffmeyer has no history of any legal or disciplinary events that deem to be material to a client’s consideration of Mr. Hoffmeyer to act as their investment advisor representative. FINRA’s BrokerCheck may have additional information regarding the disciplinary history of Mr. Hoffmeyer that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

#### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Hoffmeyer holds an insurance and long-term care license to sell insurance with various insurance companies. Insurance business is monitored and processed through Triumph Capital, LLC. Mr. Hoffmeyer spends less than 10% of his time providing insurance products and long-term care products. Commissions may be earned by Mr. Hoffmeyer if insurance and long-term care products are purchased. This may or may not create a conflict of interest.

Mr. Hoffmeyer is the owner of Hoffmeyer Wealth Management, LLC. Hoffmeyer Wealth Management is an LLC created for tax planning and bill paying purposes. There are no investments products offered to clients through this entity.

#### ITEM 5 - ADDITIONAL COMPENSATION

Mr. Hoffmeyer may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Hoffmeyer is required to make recommendations appropriate to each client's individual needs and objectives.

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