

FACTS

WHAT DOES EPIC WEALTH MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, and financial information
- Investment holdings, investment experience and account transactions
- Financial goals and financial history

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons EPIC Wealth Management ("EPIC") chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does EPIC Wealth Management share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?

Call 732.784.6548 or email compliance@epicwm.com

Who we are

Who is providing this notice?

EPIC Wealth Management, LLC (“EPIC”)

What we do

How does EPIC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.

How does EPIC collect my personal information?

We collect your personal information, for example, when you

- Open an account or transfer assets to us
- Receive financial planning services
- Provide account statements or other documents to use

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- We have no affiliates

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Our nonaffiliated business partners include our introducing broker, Trade-PMR, Inc., which uses First Clearing as custodian.
- Insurance carriers we recommend to meet client insurance needs

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- We do not have any such agreements in place.

Other important information

You may have privacy protections under applicable state laws. To the extent the state laws apply, we will comply with them when we share information about you, and in some case may be limited by you.

For Insurance Customers

EPIC is a licensed insurance agency, as well as an investment adviser. The term “information” in this part means customer information obtained in an insurance transaction. We may give your information to state insurance officials, law enforcement, group policy holders about claims experience or auditors as the law allows or requires. We may give your information to insurance support companies that may keep it or give it to others. We may share medical information so we can learn if you qualify for coverage, process claims or prevent fraud or if you say we can. To see your information, email compliance@epicwm.com.