first allied
Member FINRA/SIPC

Full Capabilities Brochure

Advice You Can Trust

OUR MISSION

Our mission is to help our clients reach their financial goals and dreams. Yet we understand that each client's situation is unique. Whether you'd like to plan for your children's college education, build a business or save for retirement, we'd like to help develop a strategy to get you there. And whether you're a first-time investor or an old pro, you can rely on us to provide you with the level of advice you require. Our commitment lies in providing objective advice and service to deliver a broad range of investment resources to our clients. Building you a sound financial future is our number one priority and, as an independent firm, we place your interests and concerns first.

ABOUT OUR FIRM & FIRST ALLIED

First Financial Advisory Services, Inc. is an independently owned, full service firm with more than 40 years of dedicated personalized service to our clients. Our affiliation with the First Allied family of companies provides us the autonomy and opportunity to do what we believe is right for our clients. It's this independent approach that we believe builds trust in client relationships.

First Allied is a financial services firm that provides its network of independent financial advisors with brokerage, advisory, insurance, investment management and retirement consulting services. First Allied's independent status gives us the freedom to help clients choose the investment products that best suit their personal financial goals. First Allied Securities, Inc. is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC).

PLANNING FOR THE LONG TERM

Building a solid, long-term investment strategy tailored to your unique situation plays an integral part in meeting your financial goals. We use a personalized approach to help determine an investment plan to suit your needs. Throughout the various stages of your life, we'll seek to ensure that your financial decisions are appropriately and realistically aligned with your financial aspirations. Over the long-term, we'll manage your money with a strategy that strives to keep you on the right track to meeting your financial dreams.

ADDRESSING LIFE AFTER WORK

With retirement expectancies increasing, many people will spend up to one-third of their life in retirement. Actively planning for your retirement can be one of the most important choices you'll ever make. The goal of achieving a comfortable retirement requires some in-depth strategic planning, which includes some well thought out lifecycle management. We'll assess your current position with respect to your retirement needs and goals, and then help put you on the investment path to potentially realizing them.

DIVERSIFYING YOUR INVESTMENTS

To further assist you in meeting your financial goals, we'll seek to ensure your investments are properly diversified with an asset management strategy. Diversification, dividing your assets among different asset classes, is often cited as one of the best long-term investment strategies for any market environment. Your investment objectives, time horizon and risk tolerance will drive your asset allocation and help determine the right balance for you. While using asset allocation methodology does not guarantee greater or more consistent returns, or insure against loss, we'll work with you to help build a personalized portfolio based on proper asset management strategies.

PRESERVING AND TRANSFERRING WEALTH

Estate planning involves more than just tax savings for the wealthy. A sound estate plan may provide for effective preservation and transfer of wealth to your loved ones. A solid estate plan can provide the liquidity your heirs will need to settle your estate taxes, minimizing their financial burden. We will work with your tax and legal representatives, or help determine the estate planning specialists needed to ensure that aspects of your plan are met. Together, we'll help you take the steps necessary to protect, conserve and distribute your assets for the benefit of your loved ones and philanthropic interests.

MANAGING RISK OF UNEXPECTED EVENTS

Regardless of how much time or money you spend building your investments, a single unfortunate event can quickly erode the value of that plan if you don't have an appropriate insurance plans in place. At First Allied, our services include essential insurance strategies to help protect your financial future and your loved ones. The strategy we build for you may include many different components such as whole or term life, long-term care, disability, or other types of coverage. We understand that your needs are unique and can help tailor a plan to protect what is important to you.

ONLINE SUPPORT

Our clients can conveniently access all of their investment account activity and information in one easy place from any web browser connection. With online account access you can confirm balances and activity, research stocks, check the market, and alleviate paper clutter with electronic statements and trade confirmations. We're here to help you manage your investments, and at the same time provide a clear understanding of and access to your financial plan.

LET'S GET STARTED

Let us help you to assess your financial needs and build a plan that may provide you financial comfort and security. We'd like to help you make the most of the opportunities available to you and promise to act with objectivity, experience and honesty. Allow us to evaluate and offer a financial plan for your family or your business, from an independent perspective.

Call us today at 401-596-0193 to discuss how we may be able to help you realize your financial dreams.

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