

# Client Profile

<b>Client A</b>			
<b>First, Middle, Last Name</b>		<b>Date of Birth</b>	
<b>Primary Address</b>	<b>City</b>	<b>State</b>	<b>Zip Code</b>
<b>Email Address</b>	<b>Home Phone</b>	<b>Cell Phone</b>	
<b>Drivers License Number</b>	<b>Expiration Date</b>		
<b>Marital Status</b>	<b>Date of Marriage</b>	<b>Date of Divorce</b>	
<b>Employer</b>	<b>Title</b>		
<b>Employer's Address</b>			
<b>Employer's Phone</b>	<b>Employer's Fax</b>	<b>Business Email</b>	
<b>Client B</b>			
<b>First, Middle, Last Name</b>		<b>Date of Birth</b>	
<b>Primary Address</b>	<b>City</b>	<b>State</b>	<b>Zip Code</b>
<b>Email Address</b>	<b>Home Phone</b>	<b>Cell Phone</b>	
<b>Drivers License Number</b>	<b>Expiration Date</b>		
<b>Marital Status</b>	<b>Date of Marriage</b>	<b>Date of Divorce</b>	
<b>Employer</b>	<b>Title</b>		
<b>Employer's Address</b>			
<b>Employer's Phone</b>	<b>Employer's Fax</b>	<b>Business Email</b>	
<b>Children's Names</b>	<b>Social Security #</b>	<b>DOB</b>	<b>Existing Education Funds</b>



# Risk Profile

*What best describes your investment personality? Please rank answers 1 – 4 (1=closest to my personality)*

## Safety:

**Rank**

I cannot tolerate any decline in my investments. \_\_\_\_\_

I can tolerate some fluctuation in my investments, as long as they may recover within 1 – 3 years. \_\_\_\_\_

I can accept short-term losses for potentially higher returns overall. \_\_\_\_\_

I expect my investments to fluctuate as a cost of potentially achieving significant returns. \_\_\_\_\_

## Liquidity:

I need to have access to my money:

- within the next two years
- within the next 3 to 5 years
- within the next 6 to 10 years
- will not need for 11 years or more

## Income:

I need steady income from my investments now.

I will not need income from my investments in the near future.

My experience with investments is:

- minimal
- moderate
- significant

For the long term, what would you consider a realistic pre-tax return?

- Growth (capital appreciation) \_\_\_\_\_
- Yield (interest rate) \_\_\_\_\_
- Inflation \_\_\_\_\_

# Goals

At what age do you expect to stop working full-time? \_\_\_\_\_

Do you plan to work part-time during retirement? \_\_\_\_\_

What is your desired monthly income in retirement? \_\_\_\_\_

Do you desire to contribute to your children's education funds? \_\_\_\_\_

- If Yes, how much do you wish to contribute? (private vs. public, partial fund vs. 100%) \_\_\_\_\_

How much emergency funds do you need? \_\_\_\_\_

What are some other goals you desire to achieve? (new home, second home, travel, start a business, change careers, etc.) \_\_\_\_\_

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# Insurance

Life Insurance Company	Insured	Amount	Type	Issue Date	Premium	Cash Value	Loans
Disability Company	Insured	Monthly Benefit	Benefit Period	Waiting Period	Premium	Details	
Long-Term Car Company	Insured	Monthly Benefit	Benefit Period	Waiting Period	Premium	Details	

# Estate Documents

		Date of Document	Type	Description
Do you have a current will?	Yes <input type="checkbox"/>	No <input type="checkbox"/>		
Do you have a health care directive?	Yes <input type="checkbox"/>	No <input type="checkbox"/>		
Do you have a power of attorney?	Yes <input type="checkbox"/>	No <input type="checkbox"/>		
Do you have a trust?	Yes <input type="checkbox"/>	No <input type="checkbox"/>		

**Other helpful documents to bring to your review Meeting:**

- *Most recent completed tax return*
- *Current payroll check stub*
- *Any will and trust documents*
- *Retirement plan documents*
- *Existing insurance policies*
- *Group benefit and insurance information*
- *Home Mortgage Information*
- *Loan Statements*
- *Bank and credit card statements*
- *Investment account statements*
- *Any other information that you feel may be pertinent*