

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
March 2021**

**Deirdre DeRosa**



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**Firm Contact:  
Jonathan Bernstein  
Chief Compliance Officer**

**This brochure supplement provides information about Ms. DeRosa that supplements our brochure. You should have received a copy of that brochure. Please contact Jonathan Bernstein if you did not receive RoundAngle Advisors LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. DeRosa is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2: Educational Background & Business Experience

**Deirdre DeRosa**

**Year of Birth:** 1982

### **Educational Background:**

- 2009: Boston University; Certificate in Financial Planning
- 2005: Bentley College; Bachelor's in Economics and Finance

### **Business Background:**

- 02/2020 – Present RoundAngle Advisors LLC; Investment Adviser Representative
- 02/2020 – Present Purshe Kaplan Sterling Investments, Inc; Registered Representative
- 10/2017 – 01/2020 Merrill Lynch; Financial Advisor
- 01/2005 – 10/2017 Fiduciary Trust Company; Investment Analyst

### **Professional Designation:**

- 2018: Series 7 and 66 Examinations
- 2009: Certified Financial Planner (CFP®)

Certified Financial Planner™ (CFP®) certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP board's standard of professional conduct. As a prerequisite the IAR must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the standards of professional conduct.

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Ms. DeRosa.

## Item 4: Other Business Activities

Ms. DeRosa is a registered representative of Purshe Kaplan Sterling Investments, Inc, member FINRA/SIPC. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation they may earn.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## **Item 5: Additional Compensation**

Ms. DeRosa does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

Jonathan Bernstein supervises and monitors Ms. DeRosa activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Bernstein if you have any questions about Ms. DeRosa's brochure supplement at 732-889-3774.