

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
March 2021**

**Edward L. Vallar, Jr.**



**723 N. Broadway**

**White Plains, NY 10603**

**[www.RoundAngleAdvisors.com](http://www.RoundAngleAdvisors.com)**

**Firm Contact:  
Jonathan Bernstein  
Chief Compliance Officer**

**This brochure supplement provides information about Mr. Vallar, Jr. that supplements our brochure. You should have received a copy of that brochure. Please contact Jonathan Bernstein if you did not receive RoundAngle Advisors LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Vallar, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2: Educational Background & Business Experience

**Edward Luke Vallar, Jr.**

**Year of Birth:** 1962

### **Educational Background:**

- 1984: St. John's University; Bachelor of Science in Finance

### **Business Background:**

- 04/2015 – Present RoundAngle Advisors LLC; Director of Wealth Management
- 10/2014 – 03/2019 Foundation Retirement Plan Consultants, LLC; Director of Wealth Management
- 05/2013 – 11/2014 Klein Financial Corp; Director of Wealth Management
- 05/2010 – 05/2013 PJ Robb Variable Corp; Insurance Agent
- 04/2004 – 05/2013 GM Advisory Group, Inc; Director of Strategy & Research

### **Professional Designation:**

- 1992: Chartered Financial Analyst (CFA)

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Vallar, Jr.

## Item 4: Other Business Activities

Mr. Vallar, Jr has no other business activities to disclose.

## Item 5: Additional Compensation

Mr. Vallar, Jr. does not receive any other economic benefit for providing advisory services in addition to advisory fees.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 6: Supervision

Jonathan Bernstein supervises and monitors Mr. Vallar, Jr's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Bernstein if you have any questions about Mr. Vallar, Jr's brochure supplement at 732-889-3774.