



Representative Solicitor Compliance Certification Form

As an Investment Adviser registered with the Securities and Exchange Commission (the "S.E.C."), Atlas Capital Management Corporation is prohibited from making referral payments, directly or indirectly, to a solicitor if the recipient is subject to a statutory disqualification. The series of questions below applies to all persons who may be deemed solicitors under Rule 206(4)-3 of the Investment Advisers Act of 1940. As a condition to your continued receipt of referral fees, please answer the following and attach a separate explanation sheet if a detailed explanation is necessary.

LEGAL

<input type="checkbox"/> True <input type="checkbox"/> False	<p>A. I have not previously been nor am I currently subject to a bar or suspension from association with an investment adviser, broker-dealer, municipal securities dealer, municipal adviser, transfer agent, or nationally recognized statistical rating organization (collectively, "Regulated Entity or Regulated Entities"). If "FALSE" please attach a separate explanation sheet.</p>
<input type="checkbox"/> True <input type="checkbox"/> False <input type="checkbox"/> True <input type="checkbox"/> False <input type="checkbox"/> True <input type="checkbox"/> False	<p>B. I have not previously been nor am I currently subject to a bar or suspension from association with any Regulated Entity, based upon a finding by the SEC, for having:</p> <p style="margin-left: 40px;">a. willfully made or caused to be made in any application for registration or report required to be filed with the SEC, or in any proceeding before the SEC with respect to registration, any statement which was at the time and in the light of the circumstances under which it was made false or misleading with respect to any material fact, or has omitted to state in any such application or report any material fact which is required to be stated therein.</p> <p style="margin-left: 40px;">b. willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940, the Investment Advisers Act of 1940, the Commodity Exchange Act, or the rules or regulations under any such statutes or any rule of the Municipal Securities Rulemaking Board, or is unable to comply with any such provision.</p> <p style="margin-left: 40px;">c. willfully aided, abetted, counseled, commanded, induced, or procured the violation by any other person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940, the Investment Advisers Act of 1940, the Commodity Exchange Act, the rules or regulations under any of such statutes, or the rules of the Municipal Securities Rulemaking Board, or has failed reasonably to supervise, with a view to preventing violations of the provisions of such statutes, rules and regulations, another person who commits such a violation, if such other person is subject to his supervision. For the purposes of this representation no person shall be deemed to have failed reasonably to supervise any person, if—(i) there have been established procedures, and a system for applying such procedures, which would reasonably be expected to prevent and detect, insofar as practicable, any such violation by such other person, and (ii) such person has reasonably discharged the duties and obligations incumbent upon him or her by reason of such procedures and system without reasonable cause to believe that such procedures and system were not being complied with.</p>
<input type="checkbox"/> True <input type="checkbox"/> False	<p>C. I am not currently subject to any order of the SEC barring or suspending me from being associated with an investment adviser.</p>



<p><input type="checkbox"/> True <input type="checkbox"/> False</p>	<p>D. I have not been found by a foreign financial regulatory authority to have—(i) made or caused to be made in any application for registration or report required to be filed with a foreign securities authority, or in any proceeding before a foreign securities authority with respect to registration, any statement that was at the time and in light of the circumstances under which it was made false or misleading with respect to any material fact, or has omitted to state in any application or report to a foreign securities authority any material fact that is required to be stated therein; (ii) violated any foreign statute or regulation regarding transactions in securities or contracts of sale of a commodity for future delivery traded on or subject to the rules of a contract market or any board of trade; or (iii) aided, abetted, counseled, commanded, induced, or procured the violation by any other person of any foreign statute or regulation regarding transactions in securities or contracts of sale of a commodity for future delivery traded on or subject to the rules of a contract market or any board of trade, or has been found, by the foreign financial regulatory authority, to have failed reasonably to supervise, with a view to preventing violations of statutory provisions, and rules and regulations promulgated thereunder, another person who commits such a violation, if such other person is subject to his supervision.</p>
<p><input type="checkbox"/> True <input type="checkbox"/> False</p>	<p>E. I am not subject to any final order of a State securities commission (or any agency or officer performing like functions), State authority that supervises or examines banks, savings associations, or credit unions, State insurance commission (or any agency or office performing like functions), an appropriate Federal banking agency (as defined in section 3 of the Federal Deposit Insurance Act), or the National Credit Union Administration, that—(i) bars me from association with an entity regulated by such commission, authority, agency, or officer, or from engaging in the business of securities, insurance, banking, savings association activities, or credit union activities; or (ii) constitutes a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct.</p>
<p><input type="checkbox"/> True <input type="checkbox"/> False</p>	<p>F. I have not been convicted within ten years preceding the filing of any application for registration or at any time thereafter of any felony or misdemeanor or of a substantially equivalent crime by a foreign court of competent jurisdiction which the Commission finds—(i) involves the purchase or sale of any security, the taking of a false oath, the making of a false report, bribery, perjury, burglary, any substantially equivalent activity however denominated by the laws of the relevant foreign government, or conspiracy to commit any such offense; (ii) arises out of the conduct of the business of a broker, dealer, municipal securities dealer, investment adviser, bank, insurance company, government securities broker, government securities dealer, fiduciary, transfer agent, credit rating agency, foreign person performing a function substantially equivalent to any of the above, or entity or person required to be registered under the Commodity Exchange Act or any substantially equivalent statute or regulation; (iii) involves the larceny, theft, robbery, extortion, forgery, counterfeiting, fraudulent concealment, embezzlement, fraudulent conversion, or misappropriation of funds or securities or substantially equivalent activity however denominated by the laws of the relevant foreign government; or (iv) involves the violation of section 152, 1341, 1342, or 1343 or chapter 25 section 152, 1341, 1342, or 1343 or chapter 25 or 47 of title 18, or a violation of a substantially equivalent foreign statute.</p>
<p><input type="checkbox"/> True <input type="checkbox"/> False</p>	<p>G. I have not been convicted during the 10-year period preceding the date of filing of any application for registration, or at any time thereafter, of—(i) any crime that is punishable by imprisonment for 1 or more years, and that is not described in Question (F) above; or (ii) a substantially equivalent crime by a foreign court of competent jurisdiction.</p>



LICENSING

H. I am currently a representative of the following SEC/State registered investment adviser.

Investment Adviser: _____

I. I am currently a representative of the following SEC registered and FINRA member broker/dealer.

Broker Dealer: _____

J. Please indicate below the industry examinations or designations that you currently possess and that are in good standing:

____ Series 2 ____ Series 6 ____ Series 7 ____ Series 24 ____ Series 26 ____ Series 63 ____ Series 65

____ Series 66 ____ CPA ____ CLU ____ CFA ____ CFP ____ ChFC ____ Other*

* please specify _____

K. For execution by an individual who is an investment adviser representative of an SEC registered investment adviser and/or a registered representative of a SEC registered and FINRA member broker dealer (complete both if you serve in both capacities):

INVESTMENT ADVISER REPRESENTATIVE NAME

INVESTMENT ADVISER REPRESENTATIVE SIGNATURE

REGISTERED REPRESENTATIVE NAME

REGISTERED REPRESENTATIVE SIGNATURE

CRD NUMBER

DATE

Please complete sections A thru K above and return to Atlas Capital. Upon review, Atlas Capital will then forward to the appropriate party within your investment advisory firm or broker/dealer for completion of Section L.

L. For execution by SEC registered investment firm and/or SEC registered and FINRA member broker/dealer.

I represent that the foregoing statements are true and complete. I agree to immediately notify Atlas Capital Management Corporation, in writing, in the event that any of the previous responses change throughout the year. Please forward completed form to Atlas Capital's Compliance department.

NAME OF RIA OR BROKER DEALER

AUTHORIZED PARTY SIGNATURE

CRD NUMBER

DATE

AUTHORIZED PARTY NAME