

# FACTS

## WHAT DOES PROPHET WEALTH MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?



### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Income
- Employment and Residential Information
- Social Security Number
- Cash Balance
- Security Balances
- Transaction Detail History

### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Prophet Wealth Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Prophet Wealth Management Share?	Can you limit this sharing?
For Prophet's everyday business purposes— such as to provide advice, process your transactions, and maintain your account(s)	Yes	No
For Prophet's marketing purposes— to offer our products and services to you • Please see below for ways to limit how you are contacted	Yes	Yes
For joint marketing with our other financial companies	No	We Do Not Share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We Do Not Share
For our affiliates' everyday business purposes— information about your credit worthiness	No	We Do Not Share
For our affiliates' to market to you	No	We Do Not Share

For non-affiliates' to market to you	<b>No</b>	<b>We Do Not Share</b>
Questions?	Call 262.657.5555	

## Sharing Practices

How often does Prophet Wealth Management notify me about their practices?	We must notify you about sharing practices when you open an account and each year while you are a customer.
How does Prophet Wealth Management protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Prophet Wealth Management collect my personal information?	<p>We collect personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• Establish an investment advisory relationship</li> <li>• Contract for financial planning services</li> <li>• Open and account or deposit money with custodians</li> <li>• Purchase or sell securities with executing broker dealers</li> </ul> <p>We also collect personal information from other, such as custodians, broker dealers, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit sharing only for:</p> <ul style="list-style-type: none"> <li>• Affiliates' everyday business purposes— information about your creditworthiness</li> <li>• Affiliates to market to you</li> <li>• Non-affiliates to market to you</li> </ul> <p>State law and individual companies may give you additional rights to limit sharing.</p>

## Definitions

Affiliates	Prophet Wealth Management <b>DOES NOT</b> have affiliate entities.
Non-Affiliates	Prophet Wealth Management <b>DOES NOT</b> share information with non-affiliates for marketing purposes.
Joint Marketing	Prophet Wealth Management <b>DOES NOT</b> engage in joint marketing with non-affiliates.
Everyday business purposes	The actions necessary by financial companies to run their business, and manage customer accounts, such as providing investment advisory and financial planning advice, processing securities transactions, and otherwise providing financial services to you.

## If you want to limit our sharing

Contact Us	<ul style="list-style-type: none"> <li>• Call: Please contact Kim Bornemann at 262.657.5555</li> <li>• Email: <a href="mailto:kim@prophetwealth.com">kim@prophetwealth.com</a></li> </ul>
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• Send: 708 57th Street  
Kenosha, WI 53140

Please note

If you are a *new* customer, we do not share your personal information other than to provide services or as otherwise legally required. When you are no longer our customer, this policy continues to apply to you.