



**Brandon Drespling**  
Form ADV, 2B  
Brochure Supplement

June 27, 2019  
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The Brochure Supplement provides information about Brandon Drespling that supplements Triumph Capital Management's Brochure. You should have received a copy of the Brochure. Please contact Brandon Drespling, Chief Compliance Officer, if you did not receive Triumph Capital Management's brochure or if you have any questions about the contents of this supplement. Mr. Drespling can be reached at (720) 399-5555 or [BrandonD@TriumphCapitalManagement.com](mailto:BrandonD@TriumphCapitalManagement.com).

Additional information about Brandon Drespling is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information can be accessed by using CRD# 6034259.

Triumph Capital Management Headquarters  
1610 Wynkoop Street, Suite 550, Denver CO 80202  
Phone: 720-399-5555 | Fax: 720-399-5560  
Email: [Info@TriumphCapitalManagement.com](mailto:Info@TriumphCapitalManagement.com)  
Website: [www.TriumphCapitalManagement.com](http://www.TriumphCapitalManagement.com)

## ITEM 2 – EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

### BRANDON DRESPLING

- Born: 1984

#### POST – SECONDARY EDUCATION:

- Westminster College – Bachelor of Business Administration – 2008
- Successfully completed the General Securities Principal (Series 24), General Securities Representative (Series 7), and Uniform Combined State Law Examination (Series 66)

#### RECENT BUSINESS EXPERIENCE:

YEARS	EMPLOYMENT
2016 – Present	Triumph Capital Management, Investment Adviser Representative
2015 – Present	Summit Brokerage Services, Inc., Registered Representative
2015 – 2019	Summit Financial Group, Investment Adviser Representative
2015 – 2019	Cetera Investment Advisors, LLC, Investment Adviser Representative
2012 – 2015	J.P. Turner & Company, LLC, Registered Representative
2013 – 2015	J.P. Turner & Company Capital Management, LLC, Investment Advisor Representative

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Drespling has no history of any legal or disciplinary events that deem to be material to a client's consideration of Mr. Drespling to act as their investment adviser representative. FINRA's BrokerCheck may have additional information regarding the disciplinary history of Mr. Drespling that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Drespling is a registered representative of Summit Brokerage Services, Inc., a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. Brokerage services through Summit Brokerage, Inc. are marketed using the name Triumph Capital, LLC. Triumph Capital, LLC is an independent firm and is not affiliated with Summit Brokerage Services, Inc. Advisory services are offered through Triumph Capital Management, a registered investment advisor. Triumph Capital Management is not a broker dealer.

As a broker-dealer, SBS engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Mr. Drespling, investments in securities will be recommended for you. If SBS is selected as the broker-dealer, it will affect transactions in securities for you, a client of Triumph Capital Management and Mr. Drespling. By serving as the broker dealer, SBS and Mr. Drespling will receive commissions for executing securities transactions.

You are advised that if SBS is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should be aware that this can create a conflict of interest. Further, you should note, however, that you have the right to not purchase securities through Mr. Drespling, Triumph Capital Management or SBS.

Mr. Drespling will provide advice regarding investment company securities. You should be aware that, in addition to the advisory fees paid by you, each investment company also charges its own separate investment advisory fees and other expenses (internal management fees). In addition, you should be aware that mutual funds may be purchased separately independent of the investment management services of Triumph Capital Management.

Mr. Drespling, in his capacity as registered representatives of SBS, receives commissions, 12(b)-1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. You should be aware that these additional fees can create a conflict of interest. Further, you should note that you have the right to not purchase any investment products through Mr. Drespling. On advisory accounts through Triumph Capital Management, Mr. Drespling will not receive any other compensation.

If you engage your IAR to provide advice relative to your alternative Investments, you will pay a fee for this service. This advisory fee is separate and in addition to the internal costs inherent to your alternative investment, and will be calculated as a percentage of your alternative investment account value, as reported by the custodian where your alternative investment is held. When this service is provided to you directly by your IAR, the advisory fee is not to exceed 3% per year. The advisory fee you will be charged will be clearly outlined in the advisory agreement you sign with your IAR.

Due to commission payments associated with certain alternative investments, they present a conflict of interest because they create an incentive to make recommendations based on the compensation that we receive rather than based on your needs. We will explain the specific costs associated with any recommended investments with you upon request. Alternative investments may have different shares classes available for purchase including no load options. Please review the prospectus closely and discuss with your IAR. In such cases where a commission was paid on an alternative investment, Triumph Capital Management will not allow its IAR's to charge a fee for this service for a period of three years following when the IAR sold the alternative investment to you. If your IAR did not sell the alternative investment to you, this three-year waiting period may or may not apply.

## ITEM 5 - ADDITIONAL COMPENSATION

Mr. Drespling may also receive incentive-based non-cash compensation in the form of additional compensation or reimbursement arrangements which may include payments in connection with events, conferences or seminars, sales or training programs, trips (which may include travel, lodging and meals), and/or in the form of entertainment, merchandise or other benefits. While non-cash compensation

programs are designed to encourage IARs and to consider and recommend certain products of investments, the conferences, seminars and training programs provided by such non-cash compensation arrangements help IARs stay current with changing products and economic issues. Such training may ultimately position IARs with resources to help serve their clients' financial needs. Although non-cash compensation payments may create a conflict of interest, based on current fiduciary and suitability standards, Mr. Drespling is required to make recommendations appropriate to each client's individual needs and objectives.

## ITEM 6 - SUPERVISION

Mr. Drespling is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by Triumph Capital Management's CEO, Derek Eichenwald. Mr. Eichenwald reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

Mr. Brandon Drespling and Mr. Derek Eichenwald are both principals of TCM. Mr. Drespling is supervised by Mr. Eichenwald and Mr. Eichenwald is supervised by Mr. Drespling. Mr. Drespling and Mr. Eichenwald manage client assets as co-managers. This can be deemed a potential conflict of interest. If you have any questions regarding the supervision of Mr. Drespling or Mr. Eichenwald please contact Mr. Drespling and Mr. Eichenwald prior to engaging in advisory services with TCM.

Derek Eichenwald  
CEO  
(720) 399-5555  
[DerekE@TriumphCapitalManagement.com](mailto:DerekE@TriumphCapitalManagement.com)