

1. Forms



1. TPFG Investment Management Agreement (IMA)
2. TPFG Risk Profile & Statement of Investment Selection (SIS)
3. TDA Personal Trust Application*
4. TDA Transfer Form *(if applicable – please Include a statement)*
- ***Please included copies of first and signatures pages of the trust document**
6. TDA Move Money Form – Highly recommended to fund account, rather than sending a check.

Optional Form:

5. TDA Asset Based Pricing Agreement *(if applicable)**

Important Details



- * *Transaction Based Pricing is more cost effective than Asset Based Pricing for these parameters: All Strategic Models, \$190k+ for Balanced SMA, \$375k+ for Equity SMA, \$150k+ for Income SMA, \$400k for Defender Moderate Conservative, \$350k for Defender Moderate and \$300k for Defender Moderate Growth.*
- Assume commissions of \$24 per MF trade and ABP of 21 bps per annum (\$26 min & \$250 max per quarter).
 - TBP analysis based on average no. of MF trades/year and is subject to change (04/22).
 - **For accounts with a number of existing mutual fund positions or that plan to take frequent distributions or add frequent contributions, those factors should be taken into consideration when determining ABP versus TBP.**

- **If including a check, make payable to: **TD Ameritrade, Inc. FBO Client Name.****
- **Please call TPFG Client Services (800-735-7199) to obtain a pre-assigned account number. Include this acct no. in the memo section of the check.**
- **Send checks directly to TD Ameritrade.**

Please send checks to:

TDA Institutional Inc
PO BOX 650567
Dallas, TX 75265-0567

Overnight Mail:

TD Ameritrade Institutional
7801 Mesquite Bend Drive, Suite 112
Irving, TX 75063-6043
(800) 400-6288

2. Submit



Send all forms to The Pacific Financial Group.

Electronically: TeamCS@TPFG.com

OR, Fax: 425-451-7731

OR, Mail: The Pacific Financial Group, Inc.
Attn: Client Services
11624 SE 5th Street, Suite 100
Bellevue, WA 98005
Phone: 800-735-7199

1. Once TPFG receives the paperwork, TPFG's client services team will send you a confirmation email.
2. If you do not hear from TPFG within 2 weeks after receiving the new account confirmation email, please follow-up with TPFG to be sure everything is in good order.
3. Once the account has been traded, TPFG's client services team will send you a confirmation email.

