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## **FORM ADV PART 2B BROCHURE SUPPLEMENT**

**Robert N. Pollock, Jr.**

This brochure supplement provides information about Robert N. Pollock, Jr. that supplements the Pollock Investment Advisors, LLC Form ADV Part 2A Brochure. You should have received a copy of that brochure. Please contact Robert N. Pollock, Jr. at 734.929.2520 if you did not receive Pollock Investment Advisors, LLC Form ADV Part 2A Brochure, or if you have any questions about the contents of this supplement.

Additional information about Robert N. Pollock, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number for Pollock Investment Advisors, LLC is 153350.

Pollock Investment Advisors, LLC is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

**March 1, 2020**

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## Educational Background and Business Experience

**Robert N. Pollock, Jr.**

Form ADV Part 2B, Item 2

Year of Birth: 1964

Formal Education after High School:

- University of Michigan, Ann Arbor - 1986
  - Bachelor of Arts, Political Science and Economics

Business Background for the Previous Five Years:

- Pollock Investment Advisors, Managing Partner, 2006 – Current

Certifications:

- None

Exams:

- Uniform Securities Agent State Law Examination, Series 63, 1986
- Uniform Investment Adviser Law Examination, Series 65, 1999

## Disciplinary Information

Form ADV Part 2B, Item 3

There are no current or historical legal or disciplinary events concerning  
Robert N. Pollock, Jr.

**Other Business Activities**

Form ADV Part 2B, Item 4

Mr. Robert N. Pollock, Jr. is a managing member of a family Limited Liability Corporation (LLC) that is responsible for the management of family owned real estate. Mr. Pollock is one of three, equal voting managing members of the LLC.

There is no conflict of interest between the advisory business and the LLC. Mr. Pollock receives no cash compensation as a managing member of the LLC.

Mr. Pollock also serves as an unpaid advisor to several local charitable organizations. There is no conflict of interest between the advisory business and any of the charitable organizations. Mr. Pollock receives no cash compensation as an advisor to any of the organizations.

Mr. Pollock had previously been a 50% owner of Pollock Investment Research (PIR), a C corporation as defined by the Internal Revenue Service code. Pollock Investment Research was dissolved in 2012.

**Additional Compensation**

Form ADV Part 2B, Item 5

Robert N. Pollock, Jr. does not receive compensation from any non-client advisory services.

## Supervision

Form ADV Part 2B, Item 6

Mr. James K. Pollock, CFA is Managing Partner of Pollock Investment Advisors, LLC. In that role, he is responsible for the review and monitoring of all client communications, marketing materials, and presentations and advice provided to clients. Mr. Pollock can be contacted at:

James K. Pollock, CFA  
*Managing Partner*  
Pollock Investment Advisors, LLC  
412 East Huron  
Ann Arbor, MI 48104  
734.929.2520

## Requirements for State-Registered Advisers

Form ADV Part 2B, Item 7

Pollock Investment Advisors, LLC is registered with the Securities and Exchange Commission (SEC).