

# Schedule of Miscellaneous Account and Service Fees<sup>1</sup>

The listed fees below do not include commissions, markups, commission equivalents, or advisory fees. Some of these fees may not apply to all account types. Some of these fees may be waived under certain conditions.

Account of Service	Fee Amount	Frequency
<b>Account Maintenance</b>		
NFS Annual Maintenance Fee	\$35	Per year
NFS Termination Fee	\$125	Per account
Pershing IRA Annual Maintenance Fee	\$45	Per year
Pershing Qualified Plan Annual Maintenance Fee	\$60	Per year
Pershing Termination Fee	\$100	Per account
Pershing Individual 401(k), Simplified 401(k) Annual Maintenance Fee	\$75	Per year
Pershing Simplified Profit Sharing and Money Purchase Plan Annual Maintenance Fee	\$75	Per year
Pershing Flex 401(k), Flex PSP/MMP Annual Maintenance Fee	\$125	Per year
Equity Trust Annual Maintenance Fee	\$50	Per year
Equity Trust Termination/Full Account Transfer Fee	\$80	Per account
Full Account Transfer Fee	\$75	Per account
Inactivity Fee	\$25	Per year

<sup>1</sup> **Please note:** Commissions and fees that may be charged by third parties, such as investment sponsors, money managers, or custodians, are not included. Commonwealth reserves the right to change the fees it charges.



Cash Management	Fee Amount	Frequency
<b>Checkwriting Account Fees</b>		
Premier Access Account	\$125	Per year with Visa Platinum Debit Card with Rewards option (free for accounts > \$100,000) on November 30 valuation date
Debit Card Fee	No cost	Included in annual charge
ATM Fee	5 free in-network ATM withdrawals per month; \$1 for each withdrawal after the 5th. Out-of-network ATMs may charge additional fees.	Per transaction; 1% additional fee on international transactions
Bill Pay Fee	No cost	Included in annual charge
Check Reorder Fee	\$0-\$60, depending on check style	Per order
Select Access Account	\$25	Per year
Debit Card Fee	\$25	Per year
ATM Fee	\$1	Per transaction; 1% additional fee on international transactions
Bill Pay Fee	No cost	Included in annual charge
Check Reorder Fee	No cost	Included in annual charge
Insufficient Funds/Bounced Check Fee	\$15	Per transaction
Stop Payment Fee	\$10	Per transaction
Check Copy Fee	\$2.50	Per transaction
<b>Miscellaneous/All Brokerage Accounts</b>		
Overnight Mail Fee	\$15	Per transaction
Returned/Stopped Check Fee	\$20	Per check
Saturday Overnight Fee	\$35	Per transaction
Service Fee	\$4	Per transaction
Wire Fee	\$15	Per transaction



Investment Specific	Fee Amount	Frequency
<b>Alternative Investment Fees</b>		
Annual Custody Fee	\$35	Per registered position <sup>1</sup>
	\$125	Per nonregistered position <sup>2</sup>
	\$105 cap	Accounts that only hold registered positions
	\$250 cap	Accounts that hold one or more nonregistered positions
Alternative Investment Transfer, Purchase, <sup>3</sup> and Liquidation Fee	\$50	Per position
<b>Physical Certificates</b>		
Legal Transfer Fee	\$25	Per position
Legal Transfer Return Fee	\$75	Per position
Physical Reorganization Fee	\$75	Per position
Deliver to Client	\$75	Per position
Restricted Stock Clearance Fee	\$175	Per position
Precious Metals Storage Fee	0.125% of market value of metals	Quarterly

<sup>1</sup> Registered products are alternative investments registered under the Securities Act of 1933 and/or the Investment Company Act of 1940.

<sup>2</sup> Nonregistered products are any other products that are not registered under the Securities Act of 1933 and/or the Investment Company Act of 1940.

<sup>3</sup> Applicable only in the Preferred Portfolio Services<sup>®</sup> program.