

Mack Investment Securities, Inc. (MIS) has a business continuity plan that provides, in the event of a significant business interruption, for the continuing operation at a location in the northern suburbs of Chicago. MIS has procedures in place which would permit our electronic data to be accessed from this location. Our employees would be moved to this location, as well.

The Mack Investment Securities, Inc. Business Continuity Plan is available by written request. Please send your request to:

Mack Investment Securities, Inc.  
RE: BCP  
211 Waukegan Road, Suite 300  
Northfield, IL 60093

Your written request should include your name and full address (No P.O. Boxes accepted).

Thank you for your interest in the Mack Investment Securities, Inc. Business Continuity Plan.